

# **REACH Exposure Scenarios For Fragrance Substances**

Version 3 January 2023



#### **PREFACE**

This guidance document is primarily intended for IFRA members that have to register fragrance substances under REACH and are required to include a CSR in their dossier. It is also recommended to be used by registrants that are not members of IFRA, but supply substances to the fragrance industry, or who act as lead registrant of a substance that has a significant use in fragrances. Downstream Users of fragrance substances (either in pure form or as part of a fragrance compound) will find this document helpful in order to verify that their own use(s) are sufficiently covered. Information on uses made available by DU Associations (A.I.S.E., Cosmetics Europe) has been taken into account where relevant.

The aim of this guidance is to provide registrants of fragrance substances with a standardized methodology of describing Identified Uses and preparing Exposure Scenarios for the entire life cycle. By including the provided Identified Uses and related Use Mapping in their registration dossiers, registrants will cover all the important uses of fragrance substances and should meet the needs of their Downstream Users in nearly all cases. Consistent use of the Identified Uses titles and Use Mapping in extended-SDSs will greatly facilitate compliance checks and will ultimately enable more efficient preparation of Exposure Scenarios for fragrance compounds. By applying the included Generic Exposure Scenarios as the basis for their CSRs, registrants can start straight away with a Tier 1.5 exposure assessment based on validated industry-specific data and will not have to rely on worst-case Tier 1 values that are otherwise used as default in exposure modelling tools.

A concise overview of the complex process of Chemical Safety Assessment under REACH is provided for relative non-experts as an easy-to-read alternative to the very detailed ECHA Guidance Documents on this topic. Internal and/or external specialists in the field of exposure and risk assessment can go straight to the Use Mapping tables and Generic Exposure Scenarios and start working on their dossiers.

Questions regarding the content of this document or any perceived errors can be addressed to IFRA. IFRA encourages further distribution of this document, especially to other registrants of fragrance substances.

#### **Update Version 2.1 December 2012:**

After publication of version 2 of this guidance document in April 2012 several important documents/tools were updated by their respective owners, most importantly the A.I.S.E. use mapping tables for industrial and institutional end-uses, the A.I.S.E. and Cosmetics Europe SPERC overview tables and factsheets and the introduction of ECETOC TRA v3. After review of the updated information, it was found that the changes were significant enough to warrant an update of the guidance. This version remains available on <a href="IFRA website">IFRA website</a>.

#### **Update Version 3 December 2022:**

IFRA created a subgroup from the REACH TF, dedicated to the exposure scenario for mixtures. In 2019, prior to starting the assessment of the IFRA GMES (Generic Mixture Exposure Scenario), the working group agreed on the need to update this Guidance document. Subsequent to the "Use Map Package" developed under the ECHA CSR/ES Roadmap, A.I.S.E. and Cosmetics Europe amended their use maps using harmonized formats (ESCom standard phrases, SWEDs, SCEDs and SPERCs). The changes are reflected in this document.

Another main change is the deletion of the former GES3 "Industrial end-use of washing and cleaning products", which was considered as non-applicable to the fragrance Industry. The institutional use of fragranced end-products at industrial site has been included in the new GES3 "Professional end-use of washing, cleaning and disinfecting products (including use at industrial sites)" (former GES4). Furthermore, the working examples as presented in former Chapter 4 have been removed.



# **Document History**

| Version   | Comment   | Date          |
|-----------|---|---------------|
| Version 1 | First edition   | February 2010 |
| Version 2 | Second edition  | April 2012    |
| Version 1 | First edition   | February 2010 |
|           | parameter within ECETOC TRA V3.1.  Environmental emission to soil removed from Figure 4 (GES 3 to 9).  Update of outdated weblinks, hazard statements, regulations. |               |
|           | Deletion of outdated comments on ECETOC version update, projects (EDANA use mapping tables).  Update of Annexes 1 and 3 Insertion of Annex 6 (IFRA SWEDs)           |               |



#### **EXECUTIVE SUMMARY**

The present document provides a step-by-step guidance on how to prepare Exposure Scenarios for substances used in fragrances. A list of nine Identified Uses is included that are recommended to be included in all registrations of fragrance substances. For the life cycle stage "formulation of fragrance compounds", which is unique for the fragrance industry, the Use Mapping, a Generic Exposure Scenario (GES) and "IFRA SWEDs and SPERCs" have been specifically developed for this guidance document. For the life cycle stages further downstream, the Use Mapping and GESs have been based on information published by DU Associations A.I.S.E. and Cosmetics Europe (the former Colipa).

A schematic overview of the different steps to prepare Exposure Scenarios for a fragrance substance is shown below in Figure 1.

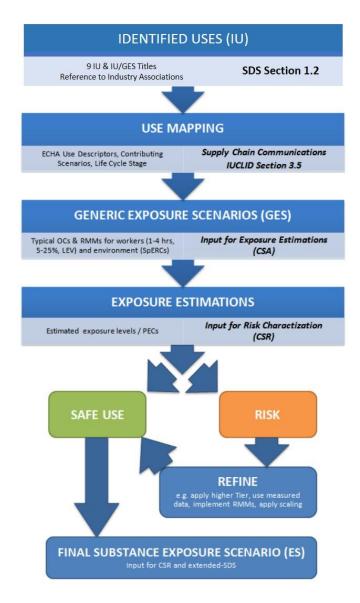


Figure 1: Stepwise Process to prepare Exposure Scenarios for a fragrance substance



#### **IDENTIFIED USES (IU)**

9 IU & IU/GES Titles Reference to Industry Associations

SDS Section 1.2

As a **first step**, uses of the substance are identified at a high level, following its entire life cycle: manufacturing, formulation, professional end-use and consumer end-use. As a result of this, **nine main Identified Uses** (IUs) can be identified for fragrance substances, covering all common uses as reported by DU Associations (see Table 1). IFRA strongly recommends registrants of fragrance substances to **include all of these in their REACH registrations** as a minimum. Registrants can always decide to include additional Identified Uses to the dossier of a specific substance, when these have been communicated by DUs and none of the exemptions of REACH Article 37.4 apply. IFRA members and their suppliers are advised to adhere to these exact IU numbers and titles in order to maximize industry alignment when reporting them in their dossiers or in section 1.2 of the extended-SDS.

Table 1: Identified Uses (IU) and Generic Exposure Scenarios (GES) relevant for the fragrance industry

| IU n° | IU Title   | Reference                |
|-------|--|--------------------------|
| IU 0  | Manufacturing of fragrance substances *  | Site specific            |
| IU 1  | GES1 - Formulation of fragrance compounds (mixing of fragrance substances into fragrance compounds)            | IFRA                     |
| IU 2  | GES2 - Formulation of fragranced end-products (mixing of fragrance compounds into fragranced end-products)     | A.I.S.E. / Cosm.<br>Eur. |
| IU 3  | GES3 - Professional end-use of washing, cleaning and disinfecting products (including use at industrial sites) | A.I.S.E.                 |
| IU 4  | GES4 - Professional end-use of polishes and wax blends   | A.I.S.E.                 |
| IU 5  | GES5 - Consumer end-use of washing and cleaning products   | A.I.S.E.                 |
| IU 6  | GES6 - Consumer end-use of air care products   | A.I.S.E.                 |
| IU 7  | GES7 - Consumer end-use of biocides  | A.I.S.E.                 |
| IU 8  | GES8 - Consumer end-use of polishes and wax blends   | A.I.S.E.                 |
| IU 9  | GES9 - Consumer (and Professional) end-use of cosmetics **   | Cosm. Eur.               |

<sup>\*</sup> No GES for manufacturing (site specific)

<sup>\*\*</sup> Only includes environmental exposure, assessment of human exposure is exempt from REACH



In the **second step**, a **Use Mapping** process is performed on each Identified Use. All applicable handling activities (e.g., sampling, transferring and mixing of substances) are described in Contributing Scenarios (CS). Standardized ECHA Use Descriptors are assigned (PROC, PC, ERC, etc.) and the life cycle stage is indicated. Use Mapping tables developed by A.I.S.E. and Cosmetics Europe have been taken over where applicable. Use Mapping for the Manufacturing step is not included, because this needs to be done specifically for each site (and is also not required for imported substances). The included Use Mapping Tables are advised to be used for communication in the supply chain and between registrants and should be used as input for IUCLID section 3.5.



#### **GENERIC EXPOSURE SCENARIOS (GES)**

Typical OCs & RMMs for workers (1-4 hrs, 5-25%, LEV) and environment (SpERCs)

Input for Exposure Estimations
(CSA)

As a **third step**, **Generic Exposure Scenarios (GES)** are provided for all Identified Uses. GESs describe the Operational Conditions (OC) and Risk Management Measures (RMM) that are typically in place. They form the basis for a Tier 1.5 exposure assessment based on validated industry-specific data instead of the worst-case values that are used by default in Tier 1 exposure estimation tools (e.g., ECETOC TRA, EUSES). For occupational exposure, OCs (e.g., exposure duration, substance concentration) and RMMs (e.g., local exhaust ventilation, gloves) are described for workers for each handling activity and are expressed as "Sector-specific Worker Exposure Descriptions" (SWEDs). For consumer exposure, use determinants (e.g., concentration in product, frequency of use) are described and expressed as "Specific Consumer Exposure Determinants" (SCEDs). For environmental exposure, OCs (e.g., river flow rate, STP size, working days) and RMMs (e.g., oil skimmer, carbon filter) are described as part of "Specific ERCs" (SPERCs).

As part of this document, IFRA has established a GES for formulation of fragrance compounds for workers and the environment. As part of this GES, two IFRA SPERCs for large/medium sites and for small sites as well as seven SWEDs have been developed. For the other Identified Uses the latest updated GESs (including SPERCs, SWEDs and SCEDs) from A.I.S.E. and Cosmetics Europe have been used.

Registrants are advised to use the included GESs as the starting point for exposure estimations and for their substance specific exposure scenarios. They may consider supplementing the GES data with site-specific scenarios especially for the formulation of fragrance compounds, as this information may be readily available either internally or from immediate Downstream Users.

| EXPOSURE E                       | STIMATIONS                             |
|----------------------------------|--|
| Estimated exposure levels / PECs | Input for Risk Charactization<br>(CSR) |

As a **fourth step**, after establishing the GES, the **Exposure Estimation** needs to take place for each Identified Use. This step and any subsequent steps are **outside the scope** of this guidance document, because for these estimations substance specific data are needed. The exposure estimation is the input for the risk characterisation in which safe use (all RCRs <1) will need to be demonstrated before the substance specific Exposure Scenarios can be finalized and the substance can be registered. When the estimated exposure results in a risk after a comparison against the safe limit values (DNELs/PNECs), further refinement is needed either on exposure or hazard.



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#### Abbreviations:

CSA : Chemical Safety Assessment

CSR : Chemical Safety Report
DNEL : Derived No Effect Level

DU : Downstream User

ECETOC: European Centre for Ecotoxicology and Toxicology of Chemicals

ECHA : European Chemicals Agency

EEA : European Economic Area (Member States of the European Union (EU) as well as

Norway, Iceland and Liechtenstein where the REACH Regulation is also in force)

EFFA : European Flavour and Fragrance Association

ERC : Environmental release category

ES : Exposure scenario

ext-SDS : Extended Safety Data Sheet

EUSES : European Union Substance Evaluation System

GES : Generic exposure scenario

HERA: Human and Environmental Risk Assessment

IFRA : International Fragrance Association

mg/m<sup>3</sup> : milligram per cubic metre, concentration unit

M/I : Manufacturer or importerOCs : Operational conditions

PBT : Persistent and Bioaccumulative and Toxic substance

PC : Preparation category

PEC : Predicted exposure concentration

PEL : Predicted exposure level

PNEC : Predicted no effect concentration
PPE : Personal Protection Equipment

Ppm : Parts per million, concentration units

PROC : Process category
QC : Quality Control

QSAR : Quantitative Structure Activity Relationships

RCR: Risk Characterisation Ratio

RIFM : Research Institute for Fragrance Materials

RMM : Risk management measures

SCED : Specific Consumer Exposure Determinant SPERC : Specific environmental release category

STP : Sewage Treatment Plant

SU / SoU: Sector of use

SWED : Sector-specific Worker Exposure Description

TRA: Targeted Risk Assessment

vPvB : Very Persistent and Very Bioaccumulative substance

WWTP : Wastewater treatment plant



# Glossary:

| Fragrance substance<br>(synonym Fragrance material;<br>Fragrance ingredient)   | Individual substance as defined in REACH (EC) No 1907/2006, Article 3.1 and used in fragrance compounds as defined below   |
|--|--|
| Fragrance compound (synonym Fragrance preparation; Fragrance mixture; compound oil; fragrance oil; perfume oil)                | Mixture as defined in REACH (EC) No 1907/2006, Article 3.2. A mixture of several individual fragrance substances, which can also contain stabilizers and solvents to enhance the function of the compound  |
| Fragranced end-product<br>(synonym Fragrance product; End<br>product; Final product; Consumer<br>product; end-use preparation) | End product used by consumers and/or workers (usually a mixture containing a fragrance compound with, i.e., denatured alcohol, surfactant or water and other functional ingredients).  |
| Compounding (syn. Compounding process)   | Action of producing a fragrance compound, i.e., mixing several individual fragrances   |
| Compounding site   | Site where individual fragrances are mixed together to obtain a fragrance compound   |
| Identified Use   | means a use of a substance on its own or in a preparation, or a use of a preparation, that is intended by an actor in the supply chain, including his own use, or that is made known to him in writing by an immediate downstream user   |
| Industrial use   | Uses of substances as such or in preparations* at industrial sites   |
| Institutional (syn. professional)  | Public domain (administration, education, entertainment, services, craftsmen)  |
| Consumer uses  | Private households (= general public = consumers)  |
| Exposure scenario  | The set of conditions, including operational conditions and risk management measures, that describe how the substance is manufactured or used during its life-cycle and how the manufacturer or importer controls, or recommends downstream users to control, exposures of humans and the environment. These exposure scenarios may cover one specific process or use or several processes or uses as appropriate. (REACH (EC) No 1907/2006, Article 3.37) |
| Generic exposure scenario  | In the context of this document, sets of determinants of exposure which cover all individual activities included in one use of fragrance substances or products containing these substances. The conditions represented by the determinants cover a broad range of specific uses and are therefore generic.  |



|                                      | Ţ   |
|--------------------------------------|---|
| Chemical Safety Assessment (CSA)     | A chemical safety assessment of a substance includes the following steps:  (a) human health hazard assessment; (b) physicochemical hazard assessment; (c) environmental hazard assessment; (d) persistent, bioaccumulative and toxic (PBT) and very persistent and very bioaccumulative (vPvB) assessment.  |
| Chemical Safety Report (CSR)         | A report that documents the chemical safety assessment  |
| Extended Safety Data Sheet (eSDS)    | A safety data sheet that contains in annex the relevant exposure scenarios (including use and exposure categories where appropriate)  |
| Downstream user (DU)                 | Any natural or legal person established within the Community, other than the manufacturer or the importer, who uses a substance, either on its own or in a preparation, in the course of his industrial or professional activities. A distributor or a consumer is not a downstream user (REACH (EC) No 1907/2006, Article 3.13)  |
| Use descriptors                      | System that standardises the description of the use of substances. This facilitates: the identification of uses to be provided in the registration dossiers; the building of an ES by suppliers, based on communication up and down the supply chain; and the building of short titles for exposure scenarios   |
| Operational Conditions (OCs)         | Operational conditions consist of a set of actions, tools, parameters such as amount of substance, process temperature and pH, duration and frequency of release, type of use (e.g., indoor or outdoor), containment of process (open or closed), continuous or batch process (leading to an intermittent release), capacity of surroundings, etc. having, as a side effect, an impact on the release and the exposure. |
| Risk Management Measures (RMMs)      | Risk management measures consist of technologies and procedures aimed at either reducing the releases and/or preventing a release pathway.  |
| Local exhaust ventilation: (LEV)     | Technical conditions and measures to control dispersion from source towards the worker  |
| Personal Protection Equipment (PPE)  | Individual protection of worker or user, e.g., gloves or a suit.  |
| Environmental Release Category (ERC) | Describes the broad conditions of use from the environmental perspective  |
| SPERC (specific ERC)                 | SPERCs inform on operational conditions and risk management measures and the corresponding release factors to water, air, soil and waste. Registrants can use the information as an input to their environmental exposure assessments. SPERCs include suitable standard phrases that help the registrant communicate effectively with the downstream user.  |
| Process Category (PROC)              | Describes the application techniques or process types defined from the occupational perspective   |



| Chemical product category (PC) (synonym Preparation category) | Describes in which types of chemical products* the substance is finally contained when it is supplied to end-uses (by industrial, professional or consumer users)  |
|---|--|
| Article Category (AC)   | Describes the type of article into which the substance has eventually been processed. This also includes mixtures in their dried or cured form (e.g., dried printing ink in newspapers; dried coatings on various surfaces)  |
| Sector-specific Worker Exposure Description (SWED):           | SWEDs inform on operational conditions and risk management measures for activities by workers. Registrants can use the information as an input to their exposure assessments. SWEDs include suitable standard phrases that help registrants effectively communicate the exposure scenarios attached to the safety data sheet to the downstream user.   |
| Specific Consumer Exposure<br>Determinant (SCED):             | SCEDs inform on conditions of use for substances in consumer products. This includes information on the design of a consumer product type (e.g., package size and design) and information on the habits and practices of how consumers actually use the products. Registrants can use the information as an input to their exposure assessments. SCEDs include suitable standard phrases that help the registrant to communicate effectively with the downstream user. |

<sup>\*</sup>The term chemical product covers both substances as such or in a preparation (mixture)



#### 1 INTRODUCTION

The REACH Chemical Safety Assessment (CSA) process consists of a hazard assessment, an exposure assessment and a risk characterisation and is reported in the Chemical Safety Report (CSR) format as defined in REACH Annex I. In this guidance document the focus will be on exposure assessment and the development of REACH Exposure Scenarios for the different life cycle steps of fragrance substances.

Spread across many technical guidance documents published by ECHA, registrants can find much information on how a REACH CSA should be performed. In practice however, differences in interpretation of the guidance and different tools/models used by registrants have resulted in a high variability of CSRs and Exposure Scenarios in terms of content and layout. This is also true for substances used in the fragrance industry, even though this industry has many common practices. This IFRA guidance document aims at documenting industry common practices using standardized terminology in order to better align the CSAs and resulting Exposure Scenarios and CSRs for fragrance substances.

Normally exposure assessment starts at Tier 1. In this Tier, the exposure is estimated using conservative models, taking worst-case default values as input information for the different exposure parameters.

The aim of this guidance is to provide registrants of fragrance substances with a standard methodology of describing Identified Uses and preparing Exposure Scenarios for the entire life cycle. By including the provided Identified Uses and related Use Mapping information in their registration dossiers, registrants will cover all the important uses of fragrance substances that should meet the needs of their Downstream Users in nearly all cases. Consistent use of this information in extended-SDSs will greatly facilitate compliance checks and will ultimately enable an efficient preparation of Exposure Scenarios for fragrance compounds. By applying the included Generic Exposure Scenarios as the basis for their CSRs, registrants can start straight away with a Tier 1.5 exposure assessment based on validated industry-specific data and will not have to rely on the worst-case defaults that are used in a Tier 1 approach.

In the context of this document the GES is a set of determinants of exposure which cover all individual activities included in one use of fragrance substances or products containing these substances. The conditions represented by the determinants cover a broad range of specific uses and are therefore generic.

If industry-specific data do not lead to safe use, a Tier 2 exposure assessment can be performed using detailed site-specific information, measured data, or specialized models. This IFRA guidance only provides the information for a more generic Tier 1.5 approach. Tier 2 assessments are beyond the scope of the present document and will need to be performed by specialists on a substance-by-substance basis.

In preparation for the 2010 submissions under REACH, the fragrance industry collaborated on the first version of this guidance document (finalized 3 February 2010). It was written by industry experts from the IFRA REACH Task Force and RIFM with the support of external service provider Royal Haskoning. It provided the information needed to complete substance specific CSAs following the latest guidance available at that time from ECHA and affected industry associations (e.g., Cefic, A.I.S.E., ECETOC).



The IFRA REACH Task Force felt strongly that with each new deadline the guidance document should be reviewed against both the experiences of the membership from previous CSA preparation exercises and any revised or new guidance from ECHA and other industry associations. Since the first published version, indeed registrants have gained a wealth of experience in preparing Exposure Scenarios and running risk assessments and also many new or updated guidance documents, tools and models have become available. It was therefore decided to update the first version of the guidance, which took place during October 2011 – March 2012, with the support of service provider CEHTRA. Around 2016-2017 new use mappings from A.I.S.E. and Cosmetics Europe became available. Because in these use maps the contributing scenarios were further condensed and SWEDS and SCEDs were introduced the IFRA REACH TF decided to revise their guidance once more. This was done during the period 2019-2020, again with the support of CEHTRA.

This further revision of the IFRA Guidance document is reflective of the Work Group's best understanding of the latest available information at the time of publication. It expresses the collective experience through the entire REACH submission process of the Work Group and its contracted consultant, CEHTRA. It is meant to serve, as did the previous versions as step-by-step guidance on the preparation of Exposure Scenarios.

Chapter 2 is intended to explain the complex process of chemical safety assessment (including exposure & risk assessment) to relative non-experts. It describes the different life cycle steps of a typical fragrance substance, the process of hazard assessment (deriving DNELs and PNECs), how to do Use Mapping, the contents of an Exposure Scenario, calculating Exposure Estimations, performing risk characterization (deriving RCRs), and communication to Downstream Users.

Chapter 3 is presenting the nine main Identified uses (IUs) specifically for the fragrance industry. As has been discussed above manufacturing is not in scope. Information from A.I.S.E. and Cosmetics Europe has been used when applicable for fragrances to stay in full alignment with our Downstream Users. Generic Exposure Scenarios (GESs) have been included for each identified use including SPERCs, SWEDs and SCEDs for many uses.



#### 2 CHEMICAL SAFETY ASSESSMENT

#### 2.1 Introduction to the Chemical Safety Assessment

With the introduction of REACH Regulation ((EC) No 1907/2006), information requirements were set for substances manufactured in or imported into Europe. A technical dossier has to be submitted for substances manufactured or imported at a volume of ≥1 ton/year per Legal Entity in the EEA¹. In addition, a Chemical Safety Assessment (CSA) is required for all substances imported or manufactured in quantities of ≥10 tons/year ((EC) No 1907/2006, Article 14). The CSA requires inclusion of an exposure assessment and risk characterization when the substance is classified as hazardous to human health or the environment or presents a physicochemical hazard or is assessed as a PBT or vPvB substance. The CSA is to be reported in the format of the Chemical Safety Report (Annex I of REACH). A schematic overview of the CSA process is presented in Figure 2.

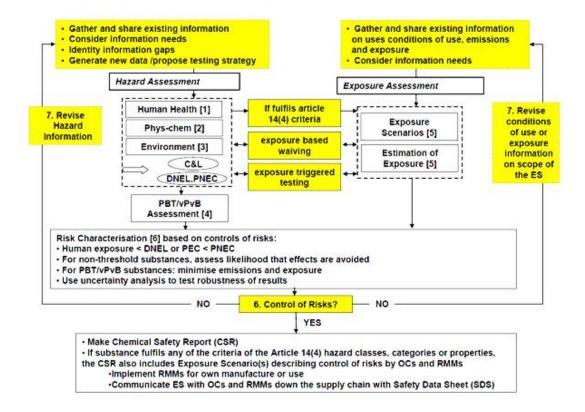


Figure 2: Chemical Safety Assessment Framework<sup>2</sup>

<sup>&</sup>lt;sup>1</sup> EEA stands for European Economic Area and includes the 27 EU Member States plus Iceland, Liechtenstein and Norway

<sup>&</sup>lt;sup>2</sup> "ECHA Guidance on information requirements and chemical safety assessment Part A", V 1.1



As a first step in the CSA, also referred to as a Tier 0 assessment, the hazards of the substance ≥ 10 tons/year are rated based on the hazard classification according to the current CLP (Regulation (EC) 1272/2008). This assessment can result in one of the following conclusions:

- 1. The substance is not classified as hazardous or as PBT/vPvB. Exposure and Risk assessment are not required and the CSR includes only the section on Hazard Assessment.
- 2. The substance meets the criteria for classification as hazardous or is assessed to be a PBT or vPvB, the CSA shall include the following steps (Article 14.4 of the REACH Regulation):
  - a. Exposure assessment including the generation of Exposure Scenarios (or the identification of relevant uses and exposure categories if appropriate) and exposure estimation;
  - b. Risk characterisation.

The aim of the CSA is to demonstrate the safe use of a substance for workers, consumers and the environment during each life cycle step of a substance. The relevant stages within the life cycle of a substance can be found in the ECHA guidance document: "Guidance on information requirements and chemical safety assessment Part D: Framework for exposure assessment" (<a href="https://echa.europa.eu/guidance-documents/guidance-on-information-requirements-and-chemical-safety-assessment">https://echa.europa.eu/guidance-documents/guidance-on-information-requirements-and-chemical-safety-assessment</a>). The relevant life cycle stages for the fragrance industry can be found in Figure 3.

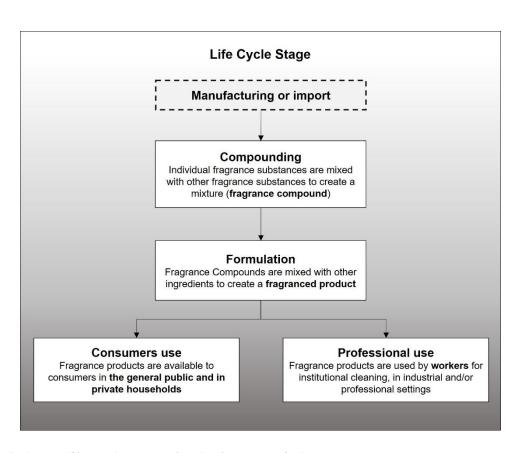


Figure 3: Relevant life-cycle stages for the fragrance industry



#### 2.1.1 The CSA framework

In this guidance document the steps as presented in Figure 2 will be further discussed in the following order:

- Hazard assessment, including classification and deriving safe limit values, not in scope of this document. However, a short introduction is presented in 2.2 because of its relevance for deriving safe use.
- 2. Exposure assessment (see 2.3)
  - Use Mapping (see 2.3.1.2)
  - Exposure Scenarios (see 2.3.2)
    - Workers (see 2.3.2.1)
    - o Consumers (see 2.3.2.2)
    - o Environment (see 2.3.2.3)
  - Exposure Estimates (see 2.3.3)
    - Workers
    - Consumers
    - Environment
- 3. Risk characterization (see 2.4)

The CSA is an iterative or tiered process that continues until conditions have been identified which lead to the adequate control of exposure. This reiteration either on creating new hazard data or exposure data should lead to conditions that allow a safe use. Normally this process starts by refining the exposure data.

The final exposure scenarios (see 2.5), resulting from this refinement, are reported in the Chemical Safety Report. The Chemical Safety Report can be created using the CHESAR tool developed by ECHA. With CHESAR it is possible to report the Exposure scenarios in an automated and harmonized way allowing maximum alignment between registrants and therefore it is strongly advised to all registrants of fragrances to use this tool. In the ECHA Use maps library you can find the IFRA SPERC factsheet. Alternatively, the CSR can be reported in a Word document (.rtf file) and the template can be found at <a href="https://echa.europa.eu/support/guidance-on-reach-and-clp-implementation/formats">https://echa.europa.eu/support/guidance-on-reach-and-clp-implementation/formats</a>.

The format is available on ECHA website as a .dot file which can be opened with Microsoft Word. Drawback of this way for reporting the CSR is that all data forming the exposure scenario will have to be entered manually in the template. Guidance on the CSR format is available in the ECHA guidance document: "Guidance on Information Requirements and Chemical Safety Assessment — Part D: Framework for exposure assessment, section D6 (Building the Chemical Safety Report)", (https://echa.europa.eu/guidance-documents/guidance-on-information-requirements-and-chemical-safety-assessment).

In the Annex to the SDS (extended-SDS) Exposure Scenarios are communicated to the DU (see 2.6). Additionally, guidance to the DU is presented in section 2.7 on how to handle in case the OCs and RMMs described in an exposure scenario that they have received are different from their own specific situation. The CHESAR tool offers the possibility to automatically generate SDS annexes from Exposure Scenarios.



#### 2.2 Hazard assessment

The first steps of the CSA are the human health and environmental hazard assessment of the substance based on a variety of human health endpoints and ecotoxicological endpoints described in Annex VII - Annex X of the REACH Regulation. Based on this hazard information classification and labelling is proposed and safe limit values are derived (DNELs and PNECs), which should not be exceeded during exposure.

#### 2.2.1 Selection of safe limit values (DNELs and PNECs)

For workers and consumers, the significant routes of exposure need to be defined and the safe limit value appropriate to that route is to be selected. The safe limit value for human exposure is the derived no effect level (DNEL), for the environment it is the predicted no effect concentration (PNEC).

Relevant exposure routes for workers in industrial and professional settings are via the dermal and inhalatory route. For the general public (consumers) the routes are via inhalation, dermal and oral. It has to be noted that for fragranced end-products (except for those used in cosmetics e.g., mouth care), the oral route is not considered to be a standard exposure route. Indirect exposure of e.g., residues on plates from dishwashing products is possible but considered to be minimal. Therefore, fragrance exposure via the oral route is considered to be not significant compared with the dermal and inhalation routes.

From a practical perspective it is advised to finalise the DNELs and PNECs before starting on the exposure estimation because any further changes to these values will need to be taken into account for each exposure scenario.

#### 2.2.1.1 Safe limit values for human exposure

The process of deriving a DNEL is described in the ECHA guidance document: "Guidance on information requirements and chemical safety assessment Chapter R.8: Characterisation of dose [concentration]-response for human health" (<a href="https://echa.europa.eu/guidance-documents/guidance-on-information-requirements-and-chemical-safety-assessment">https://echa.europa.eu/guidance-documents/guidance-on-information-requirements-and-chemical-safety-assessment</a>). Since 2018 IUCLID also incorporates a DNEL generator which offers the possibility to calculate and report derived non-effect levels according to the ECHA guidance. ECETOC developed a more refined approach for derivation of the DNEL (Technical report 136, 2021 – Assessment Factors to Derive DNELs – Critical Evaluation of the Status Quo freely available from the ECETOC website <a href="https://www.ecetoc.org/publications/technical-reports/">https://www.ecetoc.org/publications/technical-reports/</a>). It is advised to compare both methods when setting the final DNEL. The choice for using the ECETOC method has to be scientifically justified in the CSR.

The basis for the DNEL can be a no observed adverse effect level (NOAEL) established with appropriate information from *in vitro* tests and/or tests in animals and/or information from epidemiological studies, or in rare cases from QSARs. A series of assessment factors (AF) are used in the derivation process. Assessment factors are used to account for uncertainties, for example, in extrapolation between and within species (inter-species and intra-species factor). By default, for workers in industrial and professional settings and for consumers, DNELs will be derived for the inhalatory and dermal route for systemic long-term exposure. If a substance is classified for acute and/or local effects DNELs have to be derived for these routes in addition.

For skin and eye corrosion/irritation no method is yet available to derive safe limit values. In case classification and labelling is required for these endpoints, a qualitative approach has to be followed. This means that the Risk Management Measures (RMM) need to be implemented such as goggles.



face shields or gloves. For substances that are classified for skin sensitisation a similar approach can be chosen. However, when a "DNEL induction" can be derived for skin sensitisation (when results available from a LLNA), a residual risk-based approach can also be followed estimating the residual exposure wearing gloves by the worker. For the consumer, no personal protective equipment is anticipated and therefore, no fragranced end-products are classified as skin sensitiser.

#### 2.2.1.2 Safe limit values for environmental exposure

The derivation of a PNEC is described in detail in the ECHA guidance document: "Guidance on information requirements and chemical safety assessment Chapter R.10: Characterisation of dose [concentration]-response for environment"

(https://echa.europa.eu/guidance-documents/guidance-on-information-requirements-and-chemical-safety-assessment).

As with the derivation of the DNEL, assessment factors are also applied to the ecotoxicological endpoints (L(E)C50/EC10/NOEC values) used to derive the final PNEC. The PNECs that are derived for the environmental endpoints are: aquatic (freshwater and marine), sediment (freshwater and marine), soil (terrestrial), secondary poisoning and the micro-organisms in the sewage treatment plant.

## 2.3 Exposure Assessment

#### 2.3.1 Introduction

In this IFRA guidance document, Generic Exposure Scenarios (GES) for the fragrance industry are described consisting of the typical operational conditions (OCs) for the fragrance industry for each lifecycle step. Each GES contains several Contributing Scenarios which describe the handling activities of the substance within one life cycle step. A 'final' substance ES describes the conditions under which the use of a specific substance within one life cycle step is considered safe.

Following the ECHA Use Descriptor system, https://echa.europa.eu/csr-es-roadmap/use-maps/templates-and-submission, OCs for workers can be categorised in Process Categories (PROCs) to be able to relate them to exposure doses and concentrations. For consumers, this can be either the Product Category (PC) or the Article Category (AC). For the environment, those conditions are described by means of Environmental Release Categories (ERCs).

Industry associations have defined typical operational conditions for workers, consumers and the environment. For the formulation of fragrance compounds, typical OCs for workers and the environment have been defined by IFRA and are presented in chapter 3. For the other life-cycle stages those were taken from A.I.S.E. and Cosmetics Europe.

For environment, worker and consumer exposure assessment, a harmonised description of conditions of use can be used for the CSA and as input for most common exposure estimation tools when documented in a specific factsheet:

 for environment: Specific Environmental Release Categories (SPERC) - SPERCs are used for environmental assessment. SPERCs inform on operational conditions and risk management measures and the corresponding release factors to water, air, soil and waste. Registrants can use the information as an input to their environmental exposure assessments. SPERCs include suitable standard phrases that help the registrant communicate effectively with the downstream user.



- for workers: Sector-specific Workers Exposure Description (SWED) SWEDs are used for workers assessment. SWEDs inform on operational conditions and risk management measures for activities by workers. Registrants can use the information as an input to their exposure assessments. SWEDs include suitable standard phrases that help registrants effectively communicate the exposure scenarios attached to the safety data sheet to the downstream user.
- for consumers: Specific Consumer Exposure Determinant (SCED) SCEDs are used for consumer assessment. SCEDs inform on conditions of use for substances in consumer products. This includes information on the design of a consumer product type (e.g., package size and design) and information on the habits and practices of how consumers actually use the products. Registrants can use the information as an input to their exposure assessments. SCEDs include suitable standard phrases that help the registrant to communicate effectively with the downstream user.

In this IFRA guidance document only the Identified Uses for the fragrance industry are included. Other uses can be added substance by substance by the registrant.

The downstream user can ask the M/I to add uses to the list. The DU must describe the use (not only including the use descriptors but also describing the actual handling activity/task) and include the relevant information (i.e., OCs) in order for the M/I to include it as an Identified Use and take it into account for the CSA.

If the M/I decides to support the new use, it will be included in the use mapping. If the registrant decides not to support the use, he has to provide reasoning to the DU. In that case the downstream user has to prepare a DU CSA for his use, based on the hazard data supplied by his M/I (unless they are exempted by REACH Article 37.4).

#### 2.3.1.1 Selecting Use Descriptors

For all identified uses, the registrant selects the use descriptors as presented in the ECHA guidance document: "Guidance on information requirements and chemical safety assessment Chapter R.12: Use descriptor system" (<a href="https://echa.europa.eu/guidance-documents/guidance-on-information-requirements-and-chemical-safety-assessment">https://echa.europa.eu/guidance-documents/guidance-on-information-requirements-and-chemical-safety-assessment</a>). The following use descriptors are included:

- the process category (PROC) describes the technical process or application in which the substance is used from an occupational perspective. PROCs are defined for workers only.
- the product category (PC) describes the type of preparations (mixtures) containing the substance on end-use. These PCs have to be used for consumer products and for transparency should also be assigned to professional end-uses to distinguish between the many different endproducts that contain fragrance substances.
- the article category (AC) describes the type of article into which the substance has eventually been processed. These are only applicable for consumer end use.
- the environmental release category (ERC) describes the broad conditions of use and emission from the environmental perspective.

The applicable use descriptors which should be assigned to the different life cycle stages are presented in Table 2.



Table 2: Relevance of the ECHA Use Descriptors in the different life-cycle stages

| Life-cycle stage                       | PROC | PC | AC | ERC |
|--|------|----|----|-----|
| Manufacturing                          | +    | NA | NA | +   |
| Formulation of fragrance compounds     | +    | NA | NA | +   |
| Formulation of fragranced end-products | +    | NA | NA | +   |
| Professional end-use                   | +    | +  | NA | +   |
| Consumer end-use                       | NA   | +  | +  | +   |

NA = not applicable

#### 2.3.1.2 Selection of the appropriate Use Descriptors for the fragrance industry

Fragrance substances are used in a wide range of products. The IFRA list of identified uses for fragrance ingredients can be found in Chapter 3. The following sources of information have been used to identify the uses and thus the use descriptors of fragrance substances.

- A.I.S.E. use mapping tables, which contain a long list of uses including formulation and professional/industrial use of cleaning and maintenance products as well as use of consumer products. Since its first version in 2009, the A.I.S.E. use mapping table has been updated several times (see details in A.I.S.E. link: <a href="https://www.A.I.S.E..eu/our-activities/regulatory-context/reach/description-of-uses.aspx">https://www.A.I.S.E..eu/our-activities/regulatory-context/reach/description-of-uses.aspx</a>).
  - The IFRA guidance takes into consideration those A.I.S.E. updates until 2020.
- the Cosmetics Europe list reports uses in the cosmetics industry. The uses are reported according
  to the <u>Use Map</u> format as developed by DUCC (the Downstream Users of Chemicals Coordination
  Group). They cover most uses of substances in the manufacturing of cosmetic products and their
  end use. The Cosmetics Europe list is available from: <a href="https://cosmeticseurope.eu/cosmetics-industry/cosmetics-industry-and-reach/">https://cosmeticseurope.eu/cosmetics-industry-and-reach/</a> Updates until 2020 are included in this document.

## 2.3.2 Exposure Scenario

REACH Article 3.37 defines an Exposure Scenario as: "the set of conditions, including operational conditions and risk management measures, that describe how the substance is manufactured or used during its life-cycle and how the manufacturer or importer controls, or recommends downstream users to control, exposures of humans and the environment". These exposure scenarios may cover one specific process or use or several processes or uses as appropriate."

#### 2.3.2.1 Exposure Scenario for workers

The registrant starts out to document the handling activities/tasks performed with the substance during each life cycle step. These handling activities can be e.g., transfer from one container to another, mixing, storage, washing of containers and equipment and sampling. This is the process description and each of these activities can be a Contributing Scenario (CS) in the Exposure Scenario for each life-cycle step (e.g., storage (CS) during manufacturing (ES)). Such a description should contain the Operational Conditions during the identified process e.g., exposure time and concentration of substance in the mixture. The process description is captured in process categories (PROCs). The operational conditions are defined per PROC per life-cycle stage. These PROCs and defined operational conditions are used as input in software tools such as ECETOC TRA to estimate doses or concentrations for worker inhalation and dermal exposure. Those conditions of use (operational conditions and risk management measures) can be put in a sector specific set for activities carried out by workers in a way which facilitates their use for exposure estimation by exposure tools and



applications (such as ECETOC TRA and ART). Those worker sets are named SWEDs (sector-specific workers exposure descriptions).

#### 2.3.2.2 Exposure Scenario for consumers

All end-products containing fragrance substances are identified using Product Categories (PC) based on the ECHA Use descriptor system. These PCs are used together with the physico-chemical properties of the substance as input for software tools such as ECETOC TRA to estimate exposure doses (by dermal and/or oral routes) or concentrations (by inhalation). Those conditions of use (operational conditions and risk management measures) can be put in a sector specific set for activities carried out by consumers in a way which facilitates their use for exposure estimation by exposure tools and applications (such as ECETOC TRA, REACT and ConsExpo). Those consumer sets are named SCEDs (specific consumers exposure determinants).

#### 2.3.2.3 Exposure Scenario for the environment

As minimum input for a Tier 1 exposure assessment, the physico-chemical properties of the substance, the EU Tonnage and Fraction of EU tonnage used in region (1 or 0.1), the appropriate ERC and whether the use of a WWTP is assumed have to be defined.

For most IFRA GESs one or several SPERCs are available. The appropriate SPERC can be selected and the Tier 1 inputs from the ERC will be replaced by inputs that are more specific for the fragrance industry (Tier 1.5 assessment).

#### 2.3.3 Exposure Estimation

At first exposure is estimated using models. Tier 1 exposure estimation normally starts with a worst-case estimation meaning default operational conditions (such as 8 hour exposure time per working day and pure substance for workers) and no RMMs (LEV, respiratory protection, gloves). For the fragrance industry default worst-case operational conditions can be replaced by typical ones (realistic worst-case) which can be entered into a Tier 1 exposure estimation tool and can be considered a refined Tier 1 approach (for workers) or a Tier 1.5 approach (for consumers and environment).

ECETOC has developed a Targeted Risk Assessment (TRA) tool for the estimation of exposure for worker, consumers and environment, based on the identified exposure scenarios, which is available upon registration here: <a href="https://www.ecetoc.org/tools/tra-main/">https://www.ecetoc.org/tools/tra-main/</a> (select download integrated tool). Prior to the ECETOC TRA model, the Joint Research Centre of the European Commission had developed EUSES for environmental exposure assessment. Regarding environmental risk assessment, ECETOC TRA and EUSES are based exactly on the same equations, so they are equivalent and can both be used for Tier 1 environmental assessments. The only difference is that the ERC and SPERC system have not been implemented in EUSES, so, default inputs have to be set manually. The EUSES and ECETOC TRA model is implemented in the CHESAR application developed by ECHA to help companies with their chemical safety assessment for REACH. When using CHESAR, PROCs, SWEDs, SCEDs, ERCs and SPERCs can be selected directly for calculations.

By running the models in the ECETOC TRA or CHESAR application, for each contributing scenario an exposure estimate is generated (Predicted exposure concentration (PEC) for the environment and the predicted exposure level (PEL) for workers/consumers).



#### 2.4 Risk Characterisation

#### 2.4.1 Risk Characterisation for worker and consumer exposure

In order to characterise the risk of a scenario in which human exposure occurs, the exposure is compared to the appropriate DNEL, i.e., derived according to the route of exposure (oral, dermal or inhalation), the time of exposure (acute or long-term exposure) and the type of effect (local and/or systemic effect). The risk of a scenario is determined by calculating the Risk Characterisation Ratio (RCR) for each human exposure route according to the following equation

RCR<sub>human</sub> = concentration or dose (PEL) / DNEL

If in a scenario more than one route of exposure applies (i.e., both inhalation and dermal exposure) then the RCR's are aggregated.

#### 2.4.2 Risk Characterisation for environment

In order to characterise the risk of a scenario in which emission to the environment occurs, the predicted environmental concentration (PEC) is compared to the safe limit value for the environmental compartment of interest (PNEC). The risk of an exposure scenario is determined by calculating the Risk Characterisation Ratio (RCR) for each environmental compartment according to the following equation:

RCR<sub>environment</sub> = PEC / PNEC

#### 2.4.3 Determining whether a use is safe

If the RCR is below 1 for all relevant scenarios, the use is considered safe. If the same target is exposed to one substance through various routes (which are part of the supply chain of the registrant) the RCRs have to be added up. This combined RCR should also be below 1.

If the RCR is above 1, the use cannot be considered as safe and in principle should not be incorporated into a CSR and needs to be further refined or in rare cases the particular use is to be advised against. For refinement the following options are available (however not discussed further here):

- refinement of the exposure scenario:
  - performing a more precise exposure estimation (using higher Tier models);
  - adapting the operational conditions and risk management measures;
  - o carry out measurements to define exposure;
- refinement of the hazard assessment (in order to derive a higher safe limit value, e.g. PNEC or DNEL).

#### 2.5 Completion of the Exposure Scenario

The defined operational conditions, the results of the exposure models, the estimated exposure concentrations for workers and consumer exposure as well as the predicted environmental concentrations in various compartments that lead to safe use can be put down in the final exposure scenario. This can be done either in CHESAR or the CSR template in Microsoft Word.

ECHA has provided some guidance on how the title section of the Exposure Scenario for communication should be built up. The title of the Exposure Scenario is meant to indicate for which life cycle stages and main user groups, types of products, technical processes/operations/activities or sectors of industry the ES is applicable. As a minimum (also referred to as the 'short title') the title of



an exposure scenario should contain the life cycle stage and sector of use followed by the product category. These minimum requirements have been followed for building the titles of the exposure scenarios described in this document.

#### 2.6 Supply Chain Communication of information on hazards and safe use

The information on the safe use of a substance is communicated to the Downstream User through the Safety Data Sheet (SDS). For all substances for which a CSA is required and Exposure Scenarios have been developed an extended Safety Data Sheet (ext-SDS) has to be communicated. The relevant Exposure Scenarios including OC's and RMM's are attached to the SDS and the content of several of the 16 chapters of the main body of the SDS have to be brought in line with the content of the CSR.

The ESCom (exposure scenario communication) standard for the exchange of exposure scenario (ES) data, between IT systems, was developed to allow consistent and harmonised transfer of ES information through the supply chain, using standardised phrases and information.

The catalogue is developed and maintained by the ESCom standard phrases working group. This works with the European phrase catalogue group (EuPhraC), overseen by Business Europe, to develop and maintain a shared library of unique ES phrases. EuPhraC focuses on standard phrases for the main body of the safety data sheet (SDS), while ESCom focuses on those for the ES, annexed to the SDS.

You can find the most recent version of the ESCom phrase catalogue here: <a href="https://cefic.org/guidance/reach-implementation/escom-package-guidance/">https://cefic.org/guidance/reach-implementation/escom-package-guidance/</a>.

The ESCom catalogue is as well available in a format that can be imported into ECHA's CHESAR tool.

The ECHA guidance document: "Guidance on the compilation of safety data sheets (<a href="https://echa.europa.eu/-/guidance-on-the-compilation-of-safety-data-sheets">https://echa.europa.eu/-/guidance-on-the-compilation-of-safety-data-sheets</a>)", contains more information concerning the ext-SDS. Appendix 1 of the guidance document referred to above indicates where the information of the CSA should be placed in the chapters of the ext-SDS.

Further information can be found in the ECHA "Guide on safety data sheets and exposure scenarios".

#### 2.7 Actions for DU after receiving the Exposure Scenarios

Downstream Users must pass on Exposure Scenarios further down the supply chain where relevant. DUs have to verify whether the Exposure Scenarios that are received via an ext-SDS describe the conditions for their applicable uses on-site. First a check needs to be done to see if the Identified Uses of the DU are included (ES titles, use descriptors, main exposure determinants). Then a check to see if all recommended OCs and RMMs are in place. If the DU is in compliance with the conditions in the relevant Exposure Scenario(s), this needs to be documented and DUs must be able to show this information to the authorities in case of inspections.

If DUs do not operate according to the conditions of an Exposure Scenario, several options are available for consideration:

- Implementation of the recommended RMMs and OCs
- Communication to the supplier of the on-site conditions the DU has in place with a request to update the relevant Exposure Scenario accordingly
- Preparation of a DU CSA within twelve months of receipt of the safety data sheet (exempted for substances used less than 1 tonne per year), and ECHA reporting
- Scaling: recalculate exposure & risk using modelling tools using the on-site conditions



Normally exploring these options further will eventually result in safe use, which again needs to be documented and made available to authorities during inspections. In exceptional cases where no safe use can be demonstrated, the use will need to be advised against.

The ECHA Guidance for Downstream Users (<a href="https://echa.europa.eu/regulations/reach/downstream-users">https://echa.europa.eu/regulations/reach/downstream-users</a>) aims at assisting companies in checking compliance with exposure scenarios (including scaling), with further examples given in the Practical Guide 13 "How downstream users can handle exposure scenarios" (<a href="https://www.echa.europa.eu/web/guest/practical-guides">https://www.echa.europa.eu/web/guest/practical-guides</a>).



#### 3 GENERIC EXPOSURE SCENARIOS FOR FRAGRANCE SUBSTANCES

#### 3.1 Introduction

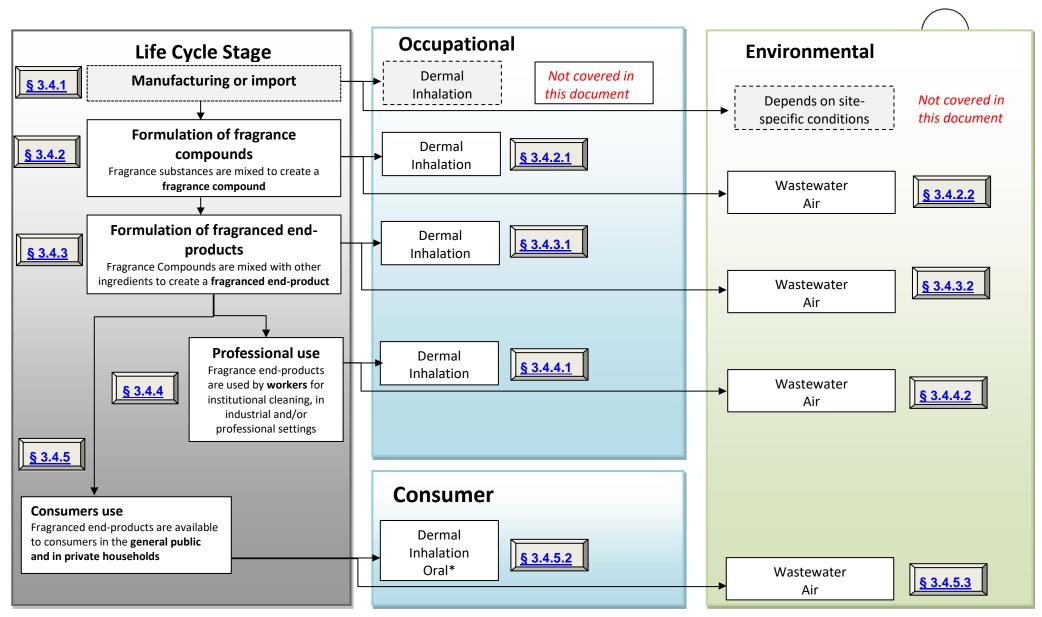
For the assessment of the potential exposure to a substance, all stages of its life-cycle must be considered and both human and environmental exposure must be taken into account (figure 4). If not imported into the EU, the life-cycle of a fragrance substance starts with the manufacturing, i.e., synthesis or extraction of the substance. The next step is the mixing of fragrance substances into a fragrance compound (formulation of fragrance compounds) followed by the formulation of the compound into a fragranced end-product (formulation of a fragranced end-product). The number of "compounding" sites in the EU is limited (fragrance houses and some downstream users who mix their own fragrances) whereas the number of fragranced end-product sites that formulate compounds into fragranced end-products is much larger and the formulating operations are potentially more varied.

Fragranced end-products are used by professionals and/or consumers. They can be used for institutional cleaning in both industry and professional settings and are available to consumers in private households. Figure 4 contains a schematic overview of the life-cycle of a typical fragrance substance and contains links to the corresponding chapters where further information is provided on the exposure occurring during each stage.

To which extent the waste life cycle stage of a substance is relevant depends on several considerations. In this context, R.18.2.3.1 (Guidance on information requirements and chemical safety assessment, Chapter R.18: Exposure scenario building and environmental release estimation for the waste life stage (ECHA 2012; version 2.1), gives guidance on the assessment of the relevance of the waste life cycle stage. Based on the assessment IFRA concluded that an exposure assessment of the waste stage for substances manufactured and used as fragrance materials, does not need to be performed because the following criteria according to R.18.2.3.1 apply:

- only small fractions of the mass flow of the substance end up in the waste stage.
- the conditions in the waste stage are already covered in the EA for other life cycle stages, and
  it can be concluded that the expected releases to the environment from the waste stage are
  significantly lower than those from the previous life cycle stages
- the expected concentration of the substance at waste stage falls below the cut-off concentrations laid down in article 14(2) of REACH, and the overall amount of substance in waste is sufficiently low so that no relevant release rates to the environment could occur.

For each life cycle stage one or more Identified Uses can be described to which ECHA use descriptors can be assigned following a Use Mapping process. For each Identified use a Generic Exposure Scenario (GES) can be generated, which will then form the basis for calculating exposure estimations and assessing risk. This process is depicted in Figure 5 and will be described in detail in chapter 3.



**Figure 4**; "Different life-cycle stages of fragrance substances in a Generic Exposure assessment for human and environmental. \*Only in Tier 1.5 consumer exposure assessment (considering indirect contact by oral)



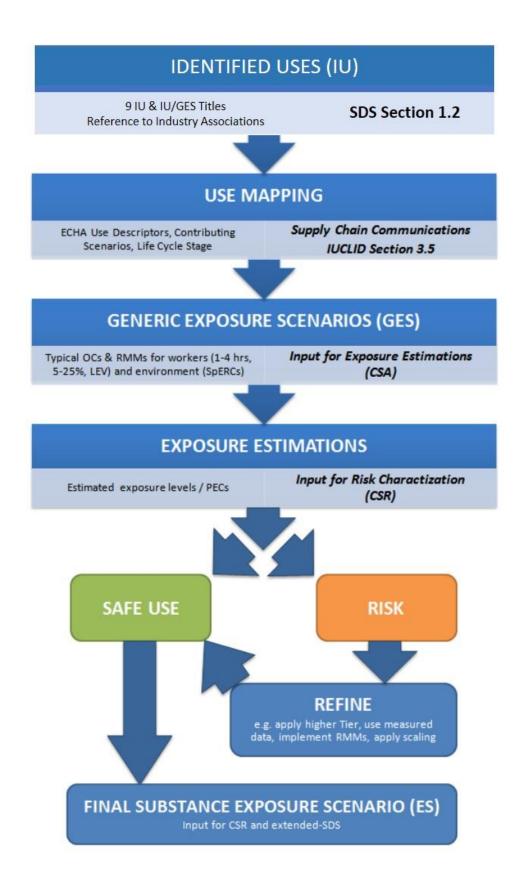


Figure 5: Stepwise process to prepare Exposure Scenarios for a fragrance substance



#### 3.2 Identified uses

# IDENTIFIED USES (IU)

9 IU & IU/GES Titles Reference to Industry Associations

SDS Section 1.2

Nine main Identified Uses (IU) have been identified by IFRA as uses of fragrance substances in specific industrial, professional or consumer settings (see Table 3), which IFRA strongly recommends registrants of fragrance substances to include in their REACH registrations as a minimum. IFRA members and their suppliers are advised to adhere to these exact IU numbers and titles in order to maximize industry alignment when reporting them in their dossiers or in section 1.2 of the ext-SDS.

IU 1, Formulation of fragrance compounds, is specific to the fragrance industry and the Use Mapping and GES have been developed by experts from IFRA member companies for inclusion in this Guidance document. All other Identified Uses are based on the use mapping and GES data provided by Downstream User (DU) Associations (A.I.S.E., Cosmetics Europe). For IU 9 the end-use of cosmetics by consumers has been expanded with the end-use by professionals. For use in cosmetics, only the environmental risk needs to be considered according to REACH Article 14.5(b). The environmental emissions resulting from consumer and professional use are both considered wide dispersive emissions and fall under the same ERC (ERC8a) and can therefore be grouped under the same Identified Use. Manufacturing is another Identified Use but will not be described in a GES because this is always substance and site specific and also does not apply to substances that are imported.

Fragrance substances may occasionally also occur in products that are not included in the list of Identified Uses in Table 3, such as paints, fuels or inks. The same is true for scented articles, such as clothes, erasers, toys and CDs. The rationale for this omission is that the concentration of a fragrance substance in these products is considered to be lower than the applicable concentration limit as listed in REACH Article 14.2 (see chapter 3.4). In this case an Exposure Scenario for this end-use would not be required. Individual Registrants can of course always decide to include additional Identified Uses to the dossier of a given substance, for example when these have been specifically requested by a DU and/or when the substance is present in an end-product above the applicable concentration limit.



Table 3: Identified Uses (IU) and Generic Exposure Scenarios (GES) for fragrance substances

| IU n° | IU Title   | Reference        |
|-------|--|------------------|
| IU 0  | Manufacturing of fragrance substances *  | Site specific    |
| IU 1  | GES1 - Formulation of fragrance compounds (mixing of fragrance substances into fragrance compounds)            | IFRA             |
| IU 2  | GES2 - Formulation of fragranced end-products (mixing of fragrance compounds into fragranced end-products)     | A.I.S.E. / CosEU |
| IU 3  | GES3 - Professional end-use of washing, cleaning and disinfecting products (including use at industrial sites) | A.I.S.E.         |
| IU 4  | GES4 - Professional end-use of polishes and wax blends   | A.I.S.E.         |
| IU 5  | GES5 - Consumer end-use of washing and cleaning products   | A.I.S.E.         |
| IU 6  | GES6 - Consumer end-use of air care products   | A.I.S.E.         |
| IU 7  | GES7 - Consumer end-use of biocides  | A.I.S.E.         |
| IU 8  | GES8 - Consumer end-use of polishes and wax blends   | A.I.S.E.         |
| IU 9  | GES9 – Consumer (and Professional) end-use of cosmetics **   | CosEU            |

<sup>\*</sup> No GES for manufacturing (site specific)

## 3.3 Use Mapping

| USE M                              | APPING                      |
|------------------------------------|-----------------------------|
| ECHA Use Descriptors, Contributing | Supply Chain Communications |
| Scenarios, Life Cycle Stage        | IUCLID Section 3.5          |

The Use Mapping for IU 1-9 is provided below in Tables 4 to 9. The Use Mapping for manufacturing of fragrance substances is not included because this information needs to be generated by the manufacturers themselves.

The use map for formulation of fragranced end-products is following the Use code "A.I.S.E.\_F\_001\_v1" from the A.I.S.E. Use Map and the Use code "sector\_F\_001\_v1" from the Cosmetics Europe Use Map, but has been adjusted in such a way that it provides the most realistic description of this life cycle stage for mixtures with fragrances. In principle, this use considers the same activities to be applicable as have been described for the formulation of fragrance compounds use (IU 1), with one addition i.e., the process to make e.g., granular detergents which is best described by PROC14. Compared to the A.I.S.E. and CE Use Maps the following changes were made: PROC2 and 4 were not considered as relevant for the work with fragranced mixtures and are therefore not included. PROC 15 (Quality control) was considered as relevant and added to the use mapping.

For the Professional end-use of washing and cleaning products (including use at industrial sites) and the Professional end-use of polishes and wax blends (IU 3-4) the A.I.S.E. Use Mapping was used. For the Use Mapping the A.I.S.E. activities/SWEDs were grouped by PROCs as in this chapter only the Use descriptors should be defined (PROCs & ERCs) and not the exposure scenarios with operational conditions (OC). For the generic exposure scenarios (GES) (see chapter 3.4) the different A.I.S.E. activities/SWEDs were assessed on their own.

Regarding the use of fragranced end-products by consumers (IU 5-9), the A.I.S.E. and Cosmetics Europe information was taken over without any significant changes to the content. Please note that a CSR does not need to include consideration of the risks to human health from cosmetic end-use (IU

<sup>\*\*</sup> Only includes environmental exposure, assessment of human exposure is exempt from REACH. Emissions to the environment resulting from consumer and professional use are comparable and can therefore be grouped under the same Identified Use.



9), so therefore only the environmental risk needs to be considered (Article 14.5(b) of the REACH Regulation).

For each activity of industrial and professional IU (1 to 4), the ESCom code associated to PROC number is indicated in the tables 4 to 7 below to facilitate harmonised transfer of ES information through the supply chain. Several codes are currently available for a same PROC due to different versions of the ECHA Use Description publications in the EuPhraC library version 5.4.

The information from the Use Mapping tables can be used to complete Chapter 3.5 of IUCLID. If the registrant uses CHESAR the IUCLID chapter 3.5 can be automatically filled by CHESAR and no manual work in IUCLID is necessary for completion.



Table 4: Use mapping for Formulation of fragrance compounds (IU 1)

| Manufacture | Formulation | Industrial == | Professional C | Consumer | Service Life | IU description   | Process<br>category<br>(PROC) | ESCom code<br>(Unique Phrase<br>Code)  | Product<br>category<br>(PC)                                       | Environmental<br>release<br>category<br>(ERC) |                            |                            |  |
|-------------|-------------|---------------|----------------|----------|--------------|--|-------------------------------|--|---|---|----------------------------|----------------------------|--|
|             |             |               |                |          |              | IU 1 – Formulation of fragrance o  | ompoun                        | ds <sup>Ŧ</sup>  |   |   |                            |                            |  |
|             | x           |               |                |          |              |  |                               |  | Material transfers from/to vessel/container at dedicated facility | PROC8b  | 16009150800<br>10088224500 |                            |  |
|             |             |               |                |          |              | Storage  | PROC1                         | 16009145100<br>10060200554   |   |   |                            |                            |  |
|             |             |               |                |          |              | Mixing operations (closed systems) in batch process including filling of equipment and sample collection | PROC3                         | 16009145800<br>10060200556   |   | FRC2  |                            |                            |  |
|             |             | X             | X              |          |              |  |                               | Mixing operations (open systems) in batch process including filling of equipment and sample collection | PROC5   | 10060200558<br>16009150100                    |                            | 11133170171<br>10060200730 |  |
|             |             |               |                |          |              | QC laboratory  | PROC15                        | 10060200574  |   |   |                            |                            |  |
|             |             |               |                |          |              | Transfer of substance or preparation into small containers (dedicated filling line, including weighing)  | PROC9                         | 11133170153<br>10060200562   |   |   |                            |                            |  |
|             |             |               |                |          |              | Equipment cleaning and maintenance   | PROC8a                        | 16009150600<br>10060200561   |   |   |                            |                            |  |

 $<sup>^{\</sup>text{T}}$ ESCOM phrase will be included here when published in the update of  $\underline{\text{eSDSphraC library 5.4}}$ 



Table 5: Use mapping for Formulation of fragranced end-products (IU 2)

| Manufacture | Formulation | Industrial ਜੁ | Professional C | Consumer | Service Life | IU description  IU 2 – Formulation of fragranced er   | Process<br>category<br>(PROC)  | ESCom code<br>(Unique Phrase<br>Code) | Product<br>category<br>(PC) | Environmental<br>release<br>category<br>(ERC) |
|-------------|-------------|---------------|----------------|----------|--------------|---|--|---------------------------------------|-----------------------------|---|
|             |             |               |                |          |              | 10 Z – Formulation of Tragranced er   | ia-produ   | CLS                                   | ı                           |   |
|             |             |               |                |          |              | Material transfers from/to vessel/container at dedicated facility                                       | PROC8b   | 16009150800<br>10088224500            |                             |   |
|             |             |               |                |          |              | QC laboratory*  | PROC15   | 10060200574                           |                             |   |
|             |             |               |                |          |              | Storage   | PROC1  | 16009145100<br>10060200554            |                             |   |
|             |             |               |                |          |              |   | Mixing operations (closed systems) in batch process including filling of equipment and sample collection | PROC3                                 | 16009145800<br>10060200556  |   |
|             | Х           |               |                |          |              | Mixing operations (open systems) in batch process including filling of equipment and sample collection  | PROC5  | 10060200558<br>16009150100            |                             | 11133170171<br>10060200730                    |
|             |             |               |                |          |              | Equipment cleaning and maintenance*   | PROC8a   | 16009150600<br>10060200561            |                             |   |
|             |             |               |                |          |              | Transfer of substance or preparation into small containers (dedicated filling line, including weighing) | PROC9  | 11133170153<br>10060200562            |                             |   |
|             |             |               |                |          |              | Production of preparations or articles by tabletting, compression, extrusion, pelletisation             | PROC14   | 16009151600<br>10060200566            |                             |   |

<sup>\*</sup>not included in the A.I.S.E. Use Map but added as considered as relevant for industry

<sup>&</sup>lt;sup>†</sup>ESCOM phrase will be included here when published in the update of <u>eSDSphraC library 5.4</u>



Table 6: Use mapping for Professional end-use of washing, cleaning and disinfecting products (including use at industrial sites) (IU 3)

| Manufacture | Formulation | Industrial <u>m</u> | Professional C |     | Service Life | IU description   | Process<br>category<br>(PROC) | ESCom code<br>(Unique Phrase<br>Code)   | Product category (PC) | Environmental<br>release<br>category<br>(ERC) |   |   |                            |                            |                            |  |                                |        |             |  |  |
|-------------|-------------|---------------------|----------------|-----|--------------|--|-------------------------------|---|-----------------------|---|---|---|----------------------------|----------------------------|----------------------------|--|--------------------------------|--------|-------------|--|--|
|             |             | IU :                | 3 –            | Pro | ofe          | ssional end-use of washing, cleaning and disi  | nfecting                      | products (in  | cluding               | use at  |   |   |                            |                            |                            |  |                                |        |             |  |  |
|             |             |                     |                |     |              | industrial sites)  |                               |   |                       |   |   |   |                            |                            |                            |  |                                |        |             |  |  |
|             |             |                     |                |     |              | 11133165906 (Professional use); 11133165946 (W   | ashing and                    | cleaning produc   | ts)                   |   |   |   |                            |                            |                            |  |                                |        |             |  |  |
|             |             |                     |                |     |              | Chemical production or refinery in closed process without likelihood of exposure or processes with equivalent containment conditions                                 | PROC1                         | 16009145100<br>10060200554  |                       |   |   |   |                            |                            |                            |  |                                |        |             |  |  |
|             |             |                     |                |     |              | Manufacture or formulation in the chemical industry in closed batch processes with occasional controlled exposure or processes with equivalent containment condition | PROC3                         | 16009145800<br>10060200556  |                       |   |   |   |                            |                            |                            |  |                                |        |             |  |  |
|             |             |                     |                |     | ×            | x  |                               |   |                       |   |   | Chemical production where opportunity for exposure arises | PROC4                      | 16009150000<br>10060200557 |                            |  |                                |        |             |  |  |
|             |             |                     |                |     |              |  |                               | Transfer of substance or mixture (charging and discharging) at non-dedicated facilities | PROC8a                | 16009150600<br>10060200561                    |   | ERC8a   |                            |                            |                            |  |                                |        |             |  |  |
|             |             |                     | X              | X   |              |  |                               | X   | X                     | X   | Transfer of substance or mixture (charging and discharging) at dedicated facilities | PROC8b  | 16009150800<br>10088224500 | PC35<br>PC8                | 10060200739<br>16009171900 |  |                                |        |             |  |  |
|             |             |                     |                |     |              |  |                               |   |                       |   |   |   |                            |                            |                            |  | Roller application or brushing | PROC10 | 10060200563 |  |  |
|             |             |                     |                |     |              |  |                               |   |                       |   |   | Non industrial spraying                                   | PROC11                     | 10088224800                |                            |  |                                |        |             |  |  |
|             |             |                     |                |     |              | Treatment of articles by dipping and pouring   | PROC13                        | 10060200565   |                       |   |   |   |                            |                            |                            |  |                                |        |             |  |  |
|             |             |                     |                |     |              | Manual activities involving hand contact   | PROC19                        | 16009152500<br>10060200575  |                       |   |   |   |                            |                            |                            |  |                                |        |             |  |  |



Table 7: Use mapping for Professional use of polishes and wax blends (IU 4)

| Manufacture | Formulation  | Industrial ਜ਼੍ | Professional C | Consumer | Service Life | IU description   | Process<br>category<br>(PROC) | ESCom code<br>(Unique Phrase<br>Code) | Product category (PC) | Environmental<br>release<br>category<br>(ERC)   |        |                            |                                |                      |             |
|-------------|--|----------------|----------------|----------|--------------|--|-------------------------------|---------------------------------------|-----------------------|---|--------|----------------------------|--------------------------------|----------------------|-------------|
|             | IU 4 – Professional use of polishes and wax blends |                |                |          |              |  |                               |                                       |                       |   |        |                            |                                |                      |             |
|             |  |                |                |          |              | 11133165906 (Professional use); 11133165942  | (Polishes a                   | nd wax blends)                        |                       |   |        |                            |                                |                      |             |
|             |  |                | ×              |          |              | Chemical production or refinery in closed process without likelihood of exposure or processes with equivalent containment conditions | PROC1                         | 16009145100<br>10060200554            |                       |   |        |                            |                                |                      |             |
|             |  |                |                |          |              |  | K                             |                                       |                       | Chemical production where opportunity for exposure arises                               | PROC4  | 16009150000<br>10060200557 | PC31                           | ERC8a<br>10060200739 |             |
|             |  |                |                |          |              |  |                               |                                       |                       | Transfer of substance or mixture (charging and discharging) at non-dedicated facilities | PROC8a | 16009150600<br>10060200561 |                                |                      |             |
|             |  |                |                |          |              |  |                               |                                       |                       |   |        |                            | Roller application or brushing | PROC10               | 10060200563 |
|             |  |                |                |          |              | Treatment of articles by dipping and pouring   | PROC13                        | 10060200565                           |                       |   |        |                            |                                |                      |             |
|             |  |                |                |          |              | Manual activities involving hand contact   | PROC19                        | 16009152500<br>10060200575            |                       |   |        |                            |                                |                      |             |



Table 8: Use mapping for Consumer end-use of washing and cleaning products (IU 5)

| Manufacture | Formulation | Industrial ਜੁ | Professional D | Consumer | Service Life | IU description   | Product<br>category<br>(PC) | Article category<br>(AC) | Environmental<br>release category<br>(ERC) |   |      |  |       |
|-------------|-------------|---------------|----------------|----------|--------------|--|-----------------------------|--------------------------|--|---|------|--|-------|
|             |             |               |                |          |              | IU 5 – Consumer end-use of washing and cle<br>11133170849 (Consumer use of washing and c |                             |                          |  |   |      |  |       |
|             |             |               |                |          |              | Laundry products   | <u> </u>                    | ,                        |  |   |      |  |       |
|             |             |               |                |          |              | Fabric conditioners  |                             |                          |  |   |      |  |       |
|             |             |               |                | V        | _            |  | x                           |                          |  | Surface cleaners; non-spray application | PC35 |  | ERC8a |
|             |             |               |                | ^        |              | Liquid surface cleaner; Spray application  | PC35                        |                          | ERCoa                                      |   |      |  |       |
|             |             |               |                |          |              | Machine dishwashing products   |                             |                          |  |   |      |  |       |
|             |             |               |                |          |              | Hand dishwashing products  |                             |                          |  |   |      |  |       |



Table 9: Use mapping for Consumer end-use of air care products (IU 6), biocides (IU 7), polishes and wax blends (IU8) and cosmetics (IU9)

| Manufacture | Formulation  | Industrial | Professional C | Consumer | Service Life | IU description   | Product<br>category<br>(PC) | Article category<br>(AC) |       |  |  |
|-------------|--|------------|----------------|----------|--------------|--|-----------------------------|--------------------------|-------|--|--|
|             | IU 6 – Consumer end-use of air care products 11133165905 (Consumer uses; 11133165913 (Air care products)       |            |                |          |              |  |                             |                          |       |  |  |
|             |  |            |                | X        |              | Air care products; non-aerosol   | PC3                         |                          | ERC8a |  |  |
|             |  |            |                | ^        |              | Air care products; aerosol   |                             |                          |       |  |  |
|             | IU 7 – Consumer end-use of biocides<br>11133165905 (Consumer uses); 11133165918 (Biocide)                      |            |                |          |              |  |                             |                          |       |  |  |
|             |  |            |                | X        |              | Insecticides: liquid electric, spray neat  | PC8                         |                          | ERC8a |  |  |
|             |  |            |                | ^        |              | Repellents   |                             |                          |       |  |  |
|             |  |            | <u>u</u>       |          |              | IU 8 -Consumer end-use of polishes and value of 11133165905 (Consumer uses); 11133165942 (Polishes |                             |                          |       |  |  |
|             |  |            |                | X        |              | Polishes and wax blends; non-Spray application   | PC31                        |                          | ERC8a |  |  |
|             |  |            |                |          |              | Polishes and wax blends; Spray application   |                             |                          |       |  |  |
|             | IU 9 – Consumer (and Professional) end-use of cosmetics  |            |                |          |              |  |                             |                          |       |  |  |
|             | 11133165905 (11133165906) (Consumer uses (Professional uses)); 11133165919 (Cosmetics, personal care products) |            |                |          |              |  |                             |                          |       |  |  |
|             |  |            |                | Χ        |              | End-use of cosmetic products   | PC39<br>PC28                |                          | ERC8a |  |  |



# 3.4 Generic Exposure Scenarios for Fragrance Substances

### GENERIC EXPOSURE SCENARIOS (GES)

Typical OCs & RMMs for workers (1-4 hrs, 5-25%, LEV) and environment (SpERCs)

Input for Exposure Estimations (CSA)

The following chapters provide Generic Exposure Scenarios (GES) for each identified use which are the basis for exposure calculations and risk assessments. It should be noted that for some GES the concentration may be lower than the applicable concentration limit as listed in REACH Article 14.2, (e.g., concentration of a fragrance substance in washing, cleaning and disinfectant end-products or polishes and wax blends). The following should be taken into account:

The exposure assessment of substances classified as hazardous is not required in the CSA if the concentration of the substance in the mixture (i.e., professional formulations or consumer end-products) is less than the lowest of any of the following as listed in REACH Article 14.2:

- o (a) the cut-off value referred to in Article 11, paragraph 3 of Regulation (EC) No 1272/2008;
- o (b) 0.1 % weight by weight (w/w), if the substance meets the criteria in Annex XIII to this Regulation (PBT/vPvB).

In these cases, an Exposure Scenario for the relevant GES would not be required. However, this should always be reviewed carefully on a substance specific basis and if insufficient or inconclusive information is available about the concentration levels of a fragrance substance for the listed uses (IU 2-9, Exposure Scenarios for these end-uses should be included in the registration dossier by default.)

# 3.4.1 Manufacturing

Fragrance substances can either be extracted from natural sources or manufactured via chemical synthesis. For each EU manufacturing site, an exposure scenario must be put together to assess the risks for workers and the local environment. The manufacturing process for each substance is specific per manufacturing site and cannot be described in a generic way. **Therefore, this Identified Use is outside the scope of this document and no GES is included.** 



### 3.4.2 Formulation of fragrance compounds: GES 1

Individual fragrance substances are mixed with other fragrance substances to create a mixture (fragrance compound). Dosing, mixing and filling may be a completely automated process for larger batches whereas the small batches may be processed automatically (e.g., via robot) or manually.

Stabilizers and solvents may also be added to enhance the function of the compound. The final concentration of a fragrance substance in the mixture can range from parts per million up to 20% w/w<sup>3</sup>. On average a fragrance compound contains 40-60 different fragrance substances, but fragrances containing up to 300 substances (e.g., due to the use of complex sub-formulas) are no exception.

In many cases combinations of automated and manual dosing and mixing will occur. After each batch the equipment will be cleaned with water in a closed system or by spraying, sometimes using detergents, or with steam. In some cases, alcohol or other solvents are used for cleaning instead of water.

<sup>&</sup>lt;sup>3</sup> OECD, 2010. Emission Scenario Document on the blending of fragrance oils into commercial and consumer products, ENV/JM/MONO(2010)38.



The majority of the fragrance substances are liquids, but some are solids. Vapour pressure is an important parameter to assess worker exposure. Most fragrance substances have a VP <500 Pa (Table 11) and are categorized as low volatility substances in exposure estimation tools. Fragrance compounds are always liquids.

The various steps of the general compounding process are shown in Figure 6. Worker exposure can occur during these steps and therefore for each step a contributing scenario is derived/defined. Losses of the substance and subsequently potential release to the environment, is indicated by the blue lines of Figure 6.



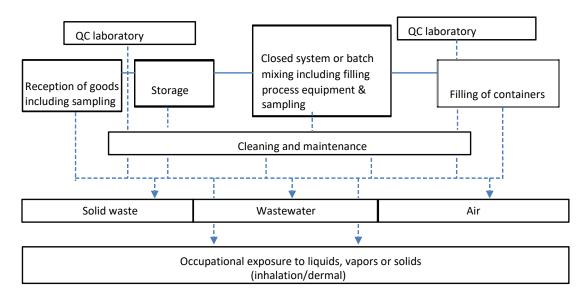


Figure 6: Operations during formulation of fragrance compounds and exposure routes (QC: Quality Control)

The operations and Contributing Scenarios in Figure 6 are also considered to be applicable to the production of encapsulated fragrances and to the formulation of flavour compounds for end-products which are not exempt from REACH (i.e., end-uses other than in food/feeding stuffs or medicinal products, see REACH Article 2.6).

# 3.4.2.1 Occupational exposure during compounding

As shown in Figure 6 there are numerous points for where potential contact of workers with the fragrance substances or the mixture may occur and thus workers may be exposed. The likely exposure routes are dermal uptake and inhalation, resulting from:

- exposure to liquids, solids or vapours during unloading from transport containers and transfer to storage containers (IFRA\_SWED\_GES1\_CS1\_8b and IFRA\_SWED\_GES1\_CS6\_9);
- exposure to liquids, vapours, and solids during dosing into the mixing vessels and during mixing process and during sampling (IFRA\_SWED\_GES1\_CS3\_3 and IFRA\_SWED\_GES1\_CS4\_5);
- exposure to liquids, solids and vapours during quality controls (IFRA SWED GES1 CS5 15);
- exposure to liquids, vapours and aerosols during container and equipment cleaning (IFRA\_SWED\_GES1\_CS7\_8a);
- exposure to liquids and vapours during the packaging of compounds and storage (IFRA\_SWED\_GES1\_CS2\_1).



For a first-tier quantitative worker exposure estimation by inhalatory and dermal routes, the ECETOC TRA model can be used which is also included in CHESAR. The handling activities during compounding are related to use descriptors.

For each IFRA GES1 Contributing Scenario (CS), an IFRA SWED has been developed with typical OCs and RMMs to refine Tier 1 TRA inputs for these activities in the fragrance industry. The relevant SWED codes are indicated in table 10 for all CS. All the detailed SWED information is reported in ANNEX 6.

The exposures during these handling activities are grasped into seven Contributing Scenarios/SWEDs to which PROCs are assigned.

For each PROC a default dermal and inhalation exposure estimate is available. For inhalation exposure this default depends on the vapour pressure of the substance. In case the vapour pressure is < 500 Pa (most cases) the low volatility default value can be selected. For the final mixture the < 500 Pa can also be used because the partial vapour pressure of the substance in the mixture is < 500 Pa

It is considered that the default temperature for all activities is ambient temperature (25°C).

For each SWED the typical Ocs are presented for exposure duration and substance in preparation (Table 10). The exposure duration for each handling is estimated by experts in the fragrance compounding industry based on common industrial practices. For batch mixing (IFRA\_SWED\_GES1\_CS4\_5) significant contact and an exposure duration of 1-4 hours is assumed. It is anticipated that this PROC 5 in a compounding facility may be a worst-case estimate. Still the PROC5 has been included because mixing is the key process during compounding. When needed, on-site refinements can be made (Specific ES).

In fragrance compounding in the first contributing scenario (IFRA\_SWED\_GES1\_CS1\_8b), a first step is to apply the "substance in preparation: NO" TRA input as the worker is exposed to the neat substance. Additionally, if this transfer concerns fragrance compounds TRA input "5 to 25%" can be taken instead of "substance in preparation: NO".

For storage (IFRA\_SWED\_GES1\_CS2\_1) a TRA default value "substance in preparation: NO" is used as the worker is exposed to the neat substance.

For mixing processes (IFRA\_SWED\_GES1\_CS3\_3 & IFRA\_SWED\_GES1\_CS4\_5) and Quality Control (IFRA\_SWED\_GES1\_CS5\_15) a TRA default value of >25% is used as the process is started with neat substances which will be mixed with other substances to form a compound that has an end-concentration of the substance <25%.

For the two last steps an appropriate realistic worst-case use level would be 5 to 25% (TRA default value) as the substance would be part of a mixture during these steps.

The use of Risk Management Measures (e.g., LEV, skin and respiratory protection) are not included in the SWEDs because under REACH these RMMs are driven by the hazard classification or risk characterisation of the specific substance. In addition, it has to be noted that the activities during compounding are considered to be indoor but this should be determined for each site individually.

Using the IFRA SWEDs as listed in Table 10, the exposure assessor can perform a refined Tier 1 assessment. These typical refined Tier 1 TRA inputs (duration, percentage in the mixture) define the initial GES for the formulation of fragrance compounds. If the conditions described in the initial GES do not result in safe use, the downstream user can refine these conditions for its own situation.





Table 10: Overview of typical refined Tier 1 inputs for all contributing scenarios of GES 1 Formulation of fragrance compounds

|                       |  |                         | Wo          | orker Exposure   | Modifier Tier1           | ECETOC 1                   | RA V3.1                   |         |
|-----------------------|--|-------------------------|-------------|------------------|--------------------------|----------------------------|---------------------------|---------|
|                       | Contributing Scenario  | Process category (PROC) | temperature | duration         | substance in preparation | indoor                     | respiratory<br>protection | gloves  |
| SWED code             | title  | (11.00)                 |             |                  | preparation              | without<br>LEV<br>basic GV | protection                |         |
| IFRA_SWED_GES1_CS1_8b | Material transfers from/to vessel/container at dedicated facility  | PROC8b                  | 25 °C       | 15 min to 1 hour | no /<br>yes at 5-25%     | х                          | not use                   | not use |
| IFRA_SWED_GES1_CS2_1  | Storage  | PROC1                   | 25 °C       | 15 min to 1 hour | no                       | х                          | not use                   | not use |
| IFRA_SWED_GES1_CS3_3  | Mixing operations (closed systems) in batch process including filling of equipment and sample collection | PROC3                   | 25 °C       | 1 to 4 hours     | yes at >25%              | х                          | not use                   | not use |
| IFRA_SWED_GES1_CS4_5  | Mixing operations (open systems) in batch process including filling of equipment and sample collection   | PROC5                   | 25 °C       | 1 to 4 hours     | yes at >25%              | х                          | not use                   | not use |
| IFRA_SWED_GES1_CS5_15 | QC laboratory  | PROC15                  | 25 °C       | < 15 min         | yes at >25 %             | х                          | not use                   | not use |
| IFRA_SWED_GES1_CS6_9  | Transfer of substance or preparation into small containers (dedicated filling line, including weighing)  | PROC9                   | 25 °C       | 15 min to 1 hour | yes at 5 – 25 %          | х                          | not use                   | not use |
| IFRA_SWED_GES1_CS7_8a | Equipment cleaning and maintenance   | PROC8a                  | 25 °C       | 1 to 4 hours     | yes at 5 – 25 %          | х                          | not use                   | not use |



### 3.4.2.2 Environmental exposure during compounding

Fragrance substances or fragrance compounds that are lost during the compounding process by washing, cleaning or spillage are collected in the wastewater and discharged to a water treatment system (Figure 6).

According to ECHA Guidance R.16 on Environmental Exposure Estimation (https://echa.europa.eu/documents/10162/17224/information\_requirements\_r16\_en.pdf/b9f0f406-ff5f-4315-908e-e5f83115d6af?t=1455553705739) the default Environmental Release Category for the formulation of preparations is ERC2. Table R.16-23 in the ECHA Guidance presents the default values used for the ERCs. ERC2 presents a worst-case default scenario that assumes a release to wastewater of 2% of the use volume and 2.5% to air and 0.01% to soil during 10 days per year (see Guidance Table R.16-23).

However, this default scenario is concluded to be not applicable to fragrances. Such release values lead to unrealistically high exposure estimates compared to what is measured in practice and should not be used. IFRA has collected specific data for releases to air, soil and water which have been used to define SPERCs for large/medium and small sites<sup>4</sup>. The SPERC factsheet is available in the ECHA Use maps library where it can be downloaded (<a href="https://echa.europa.eu/csr-es-roadmap/use-maps/use-maps-library">https://echa.europa.eu/csr-es-roadmap/use-maps/use-maps-library</a>).

### Water

More detailed information on fragrance release to water has been collected by the fragrance industry and is available. Indeed, in 2008 RIFM initiated a study that aimed to deliver an evidence-based Emission Scenario Document using qualitative and quantitative information on the operational conditions, environmental exposure scenarios and emission factors. The project was limited to emissions to wastewater.

Information on operating conditions and practices within participating companies was obtained by means of an inquiry sent to RIFM members and clients. Following the results of this study, a recommended scenario for the formulation of fragrance compounds was proposed. In this scenario, the release factor to wastewater (prior to any RMM such as oil skimmer and on site STP) during the compounding process is not more than 0.5% of the use volume for smaller compounding sites, whereas for the large/medium sites it is not more than 0.2%. All surveyed compounding sites are operating during at least 250 days per 4666.

### Air

The default release factor to air in ERC2 is 2.5% and is based on highly volatile substances (>1000 Pa).

Regarding air emissions control and factor, not enough specific data is available to date to derive a specific release factor for compounding sites, even though it seems obvious that the default release factor of 2.5% overestimates the emission to air.

Vapour pressures (measured values) of almost a thousand fragrances substances were analysed, showing that most of them are below 1000 pa and even below 500 Pa (Table 11).

The default value of 2.5% release to air is being used. In a sensitivity analysis it has been shown that this default release has limited impact on the risk characterisation for water and soil (see Annex 5). This sensitivity analysis has shown that although emissions to air do have a contribution to soil exposure, this contribution is negligible in regards to other sources of exposure (e.g., via sludge application to soil). Therefore, the worst-case value of ERC 2, which corresponds to compounding and formulation ES, should be used in the SPERC development for compounding ES, i.e., 2.5%.

<sup>&</sup>lt;sup>4</sup> Review and evaluation of environmental emission scenarios for fragrance substances Environmental exposure from the formulation of products (9S3975.01/R0002/Nijm), Royal Haskoning 2009



The major contribution to soil exposure, as calculated by ECETOC TRA, comes from the application of sludge residues from WWTP to natural soils. This condition is systematically assumed by the model in tier 1 assessment as a worst-case. It can be changed to the contrary (no sludge to soil) if there is enough justification for it.

Furthermore, the exposure to local soil increases when vapour pressure of the substance decreases, due to higher redeposition.

Table 11: Analysis of vapour pressure of 971 chemicals used in fragrance products

|                   | ,       |            |
|-------------------|---------|------------|
| n =               | 971     | substances |
| >10000 Pa         | 3       | substances |
| <10000 Pa         | 968     | substances |
| >1000 Pa          | 24      | substances |
| <1000 Pa          | 947     | substances |
| >500 Pa           | 43      | substances |
| <500 Pa           | 928     | substances |
| min =             | 0.00008 | Pa         |
| max =             | 73030   | Pa         |
| median =          | 2.76    | Pa         |
| 99-percentile =   | 3016    | Pa         |
| 97.5-percentile = | 990.2   | Pa         |
| 95-percentile =   | 417.5   | Pa         |
| 90-percentile =   | 152.9   | Pa         |

### Soil

The typical release to soil for compounding facilities is 0%, as most compounding processes are done indoor and have impermeable floors<sup>5</sup>.

### Defining the IFRA SPERCs for water, air and soil

These data have been integrated in the development of two specific ERCs (SPERCs) for compounding of fragrance compounds, taking into account differences between smaller and larger/medium compounding sites – SPERC codes (as according to CEFIC guidance)

- IFRA 2.1a.v1 (large/medium sites) and
- IFRA 2.1b.v1 (small sites).

These SPERCs and their inputs are described in the document "SPERC fact sheet – Compounding of fragrance compounds" available in Annex 4 of this document as well as on the IFRA website (https://ifrafragrance.org/docs/default-source/policy-

<u>documents/ifra\_sperc\_factsheet\_v1\_en\_december\_2020\_en.pdf?sfvrsn=91d73523\_2</u>) and in the ECHA Use maps library (https://echa.europa.eu/csr-es-roadmap/use-maps/use-maps-library).

These SPERCs can be used instead of ERC2 as a refinement. The list of these SPERCs is presented in the Table 12 below.



<sup>&</sup>lt;sup>5</sup> Review and evaluation of environmental emission scenarios for fragrance substances Environmental exposure from the formulation of products (9S3975.01/R0002/Nijm), Royal Haskoning 2009



Table 12: List of IFRA SPERCs for compounding for a Tier 1.5 assessment

| SPERC<br>Code   | SPERC<br>description   | Glossary text   | Specifies<br>ERC | Release<br>times<br>per year<br>(d/year) | With<br>STP: | Release<br>fraction<br>to air | Release<br>fraction to<br>wastewater | Release<br>fraction<br>to soil | River flow<br>rate for<br>dilution<br>(m³/day) | Type of On-Site risk management measure implemented | MSPERC<br>(kg/d) |
|-----------------|--|---|------------------|--|--------------|-------------------------------|--------------------------------------|--------------------------------|--|---|------------------|
| IFRA<br>2.1a.v1 | IFRA –<br>Formulation of<br>fragrance<br>compounds at<br>large/medium<br>sites | Fragrance compound: A blend of fragrance substances, representing a specific fragrance formula. | 2                | 250                                      | yes          | 0.025                         | 0.002                                | 0                              | 18000  | -   | -                |
| IFRA<br>2.1b.v1 | IFRA –<br>Formulation of<br>fragrance<br>compounds at<br>small sites           | Fragrance compound: A blend of fragrance substances, representing a specific fragrance formula. | 2                | 250                                      | yes          | 0.025                         | 0.005                                | 0                              | 18000  | -   | -                |



## 3.4.3 Formulation of fragranced end-products: GES 2

In the formulation stage the fragrance compound is combined with other ingredients to make a fragranced end-product. Fragranced end-products can be found in many product categories (PCs). The formulation of these products is discussed in this section. The focus will be on the formulation of the main PCs: washing and cleaning (PC35), air care (PC3), biocides (PC8), waxes and polishes (PC 31) and cosmetics (PC 39 & PC 28). In the emission scenario document of the OECD report of 2010 descriptions of these processes are available.

A.I.S.E. and Cosmetics Europe (CE) have done a Use Mapping and established Generic Exposure Scenarios which have been used when relevant for fragrance substances. Compared to the A.I.S.E. and CE Use Maps the following changes were made: PROC2 and 4 were not considered as relevant for the work with fragranced mixtures and are therefore not included. PROC 15 (Quality control) was considered as relevant and added to the use mapping.

As A.I.S.E. did not develop SWEDs for this scenario, IFRA developed these FFEP (Formulation of Fragranced End-Products) SWEDs based on estimations by experts in the formulation of fragrance end-products industry (see Table 13). The concentration of a fragrance substance for some of the GES for formulation of fragranced end-products may be lower than the applicable concentration limit as listed in REACH Article 14.2 (see chapter 3.4). In this case an Exposure Scenario for this GES would not be required. However, this should always be reviewed carefully on a substance specific basis and if insufficient or inconclusive information is available about the concentration levels of a fragrance substance, Exposure Scenarios for these end-uses should be included in the registration dossier by default.

## 3.4.3.1 Occupational exposure during formulation of fragranced end-products

Similar to the formulation of fragranced compounds as shown in Figure 6, there are numerous points where potential contact of workers with mixtures containing fragrance substances may occur and thus workers may be exposed. The likely exposure routes are dermal uptake and inhalation, resulting from:

- exposure to liquids and vapours during unloading from transport containers and transfer to storage containers:
- exposure to liquids and vapours during dosing into the mixing vessels and during mixing process
- exposure to liquids and vapours during quality controls;
- exposure to liquids, vapours and aerosols during container and equipment cleaning;
- exposure to solids during tableting and compression of compact detergents;
- exposure to liquids and vapours during the packaging of fragrance end-products and storage.

As for GES1, for a first-tier quantitative worker exposure estimation by inhalatory and dermal routes, the ECETOC TRA model can be used. The handling activities during formulation of end-products are related to use descriptors.

For each IFRA GES2 Contributing Scenario (CS), a FFEP (Formulation of Fragranced End-Products) SWED has been developed with typical Ocs and RMMs based on information from the fragrance end-product industry to refine Tier 1 TRA inputs for these activities. The relevant SWED codes are indicated in Table 13 for all CS.

The main difference between GES1 compounding and GES2 formulation of end-products is the "PROC14 – production of preparations of articles by tabletting, compression, extrusion, pelletisation". This Contributing Scenario covers the production of compact detergents and cosmetic products (FFEP\_SWED\_GES2\_CS8\_14).

The exposures during these handling activities are grasped into eight Contributing Scenarios to which PROCs and a set of predefined Ocs and RMMs (SWEDs) are assigned.



For each PROC a default dermal and inhalation exposure estimate is available. For inhalation exposure this default depends on the vapour pressure of the substance. In case the vapour pressure is  $< 500 \, \text{Pa}$  (most cases) the low volatility default value can be selected. For the final fragrance end-product the  $< 500 \, \text{Pa}$  can also be used because the partial vapour pressure of the substance in the end-product is  $< 500 \, \text{Pa}$ .

It is considered that the default temperature for all activities is ambient temperature (25°C).

For each SWED the typical Ocs are presented for exposure duration and substance in preparation (Table 13). The exposure duration for each handling is estimated by experts in the formulation of fragrance end-products industry based on common industrial practices. For batch mixing (FFEP\_SWED\_GES2\_CS5\_5) significant contact and an exposure duration of 1-4 hours is assumed. It is anticipated that this PROC 5 in a formulation facility may be a worst-case estimate. Still the PROC5 has been included because mixing is the key process during formulation. When needed, on-site refinements can be made (Specific ES).

In fragrance formulation of end-products, in the first five contributing scenarios, an appropriate realistic worst-case use level would be 5 to 25% (TRA default value) as the substance would be part of a mixture during these steps. For the 3 last steps of the formulation process (Table 13), the % value (corresponding with <1% TRA default value) is calculated from the maximum concentration of the fragrance compound (formulation) in the final product (see Table 19).

The use of Risk Management Measures (e.g., LEV, skin and respiratory protection) are not included in the SWEDs because under REACH these RMMs are driven by the hazard classification or risk characterisation of the specific substance. In addition, it has to be noted that the activities during formulation of fragrance end-products are assumed to be indoor but this should be determined for each site individually.

Using the SWEDs as listed in Table 13, the exposure assessor can perform a refined Tier 1 assessment. These typical refined Tier 1 TRA inputs (duration, percentage in the mixture), define the initial GES for the formulation of fragrance end-products. If the conditions described in the initial GES do not result in safe use, the downstream user can refine these conditions for its own situation.





Table 13: Overview of typical refined Tier 1 inputs for all contributing scenarios of GES2 Formulation of fragranced end-products

|                       |  |                         |             | Worker Exposure  | e Modifier Tier1 E       | CETOC TRA | V3.1                      |         |
|-----------------------|--|-------------------------|-------------|------------------|--------------------------|-----------|---------------------------|---------|
|                       | Contributing Scenario  | Process category (PROC) | temperature | duration         | substance in preparation | indoor    | respiratory<br>protection | gloves  |
| SWED code             | title  | ( 2 2 7                 |             |                  | proparation.             | basic GV  | <b>P</b>                  |         |
| FFEP_SWED_GES2_CS1_8b | Material transfers from/to vessel/container at dedicated facility  | PROC8b                  | 25 °C       | 15 min to 1 hour | yes at 5 – 25 %          | х         | not use                   | not use |
| FFEP_SWED_GES2_CS2_15 | QC laboratory  | PROC15                  | 25 °C       | < 15 min         | yes at 5 – 25 %          | х         | not use                   | not use |
| FFEP_SWED_GES2_CS3_1  | Storage  | PROC1                   | 25 °C       | 15 min to 1 hour | yes at 5 – 25 %          | х         | not use                   | not use |
|                       | Mixing operations (closed systems) in batch process including filling of equipment and sample collection | PROC3                   | 25 °C       | 1 to 4 hours     | yes at 5 – 25 %          | х         | not use                   | not use |
| FFEP_SWED_GES2_CS5_5  | Mixing operations (open systems) in batch process including filling of equipment and sample collection   | PROC5                   | 25 °C       | 1 to 4 hours     | yes at 5 – 25 %          | x         | not use                   | not use |
| FFEP_SWED_GES2_CS6_8a | Equipment cleaning and maintenance   | PROC8a                  | 25 °C       | 1 to 4 hours     | yes at < 1 %             | х         | not use                   | not use |
| FFEP_SWED_GES2_CS7_9  | Transfer of substance or preparation into small containers (dedicated filling line, including weighing)  | PROC9                   | 25 °C       | 15 min to 1 hour | yes at < 1 %             | x         | not use                   | not use |
| FFEP_SWED_GES2_CS8_14 | Production of fragranced end-products by tableting compression, extrusion, pelletisation                 | PROC14                  | 25 °C       | > 4 hours        | yes at < 1 %             | х         | not use                   | not use |



## 3.4.3.2 Environmental exposure during formulation of fragranced end-products

The emission to wastewater differentiates between the various fragranced end-products. For formulation ERC2 has a default release factor of 2% to wastewater which will lead to substantial overestimation of the PEC for fragrance substances.

SPERCs developed by A.I.S.E. (International Association for Soap, Detergents and Maintenance Products) and Cosmetics Europe related to formulation apply to formulation of fragranced endproducts and can be used to refine exposure assessment. These SPERCs and associated Factsheets available A.I.S.E. website (https://www.A.I.S.E..eu/our-activities/regulatoryon context/reach/environmental-exposure-assessment.aspx) and Cosmetics Europe (CE) website (https://cosmeticseurope.eu/cosmetics-industry/cosmetics-industry-and-reach/) and in the ECHA Use maps library (https://echa.europa.eu/csr-es-roadmap/use-maps/use-maps-library). A list of these SPERCs is reproduced in the Table 14.1 below including an indication of typical volume percentage ranges of EU Tonnage of fragrance substance per SPERC, based on an internal assessment of sales data by a representative IFRA member company. Please be aware that Cosmetics Europe is currently in the process of updating their spERCs for their formulation scenarios. An update is expected in Q1 2023.

Although all the SPERCs are presented here, the registrant or downstream user should select only applicable SPERCs based on their knowledge of product type. Registrants who do not have any knowledge about what end-products their substance is used in are advised to include all SPERCs. Also, it is possible to group some of these SPERCs based on release fractions, number of emission days or specific RMM.

In order to facilitate this approach, IFRA proposes a grouping of SPERCs from A.I.S.E. and Cosmetics Europe after having discussed this with these stakeholders. These SPERCs have been grouped based on release fraction to water and site scale (large/medium or small) and are presented in Table 14.2 below. IFRA also proposes typical volume percentage ranges of EU Tonnage of fragrance substance per SPERC group. However, if a registrant has more specific data about the volume distribution of their specific substance, this of course should prevail over this generic proposal.

Note that Cosmetics Europe has included a SPERC (Cosmetics Europe SPERC 2.1.j.v2) with a release fraction to water higher than the default factors from the ECHA guidance. In these cases, it is advised to use the maximum release fraction from ECHA (e.g., ERC2 default for release fraction to water of 2%) for fragrance substances.





Table 14.1: List of A.I.S.E. and Cosmetics Europe SPERCs for formulation of fragranced end-products for a Tier 1.5 assessment

| SPERC Code                    | SPERC description  | Specifies<br>ERC | Release<br>times per<br>year<br>(d/year) | With<br>STP: | Release<br>fraction to<br>air | Release<br>fraction to<br>wastewater | Release<br>fraction to<br>soil | Standard<br>municipal<br>STP | MSPERC<br>(kg/d) |
|-------------------------------|--|------------------|--|--------------|-------------------------------|--------------------------------------|--------------------------------|------------------------------|------------------|
| A.I.S.E.<br>SPERC<br>2.1.a.v3 | A.I.S.E. – Formulation of granular<br>Detergents/Maintenance Products:<br>Regular & Compact (large scale<br>>10,000 t/product a)         | 2                | 300                                      | yes          | 0.001                         | 0.0005                               | 0                              | yes                          | *                |
| A.I.S.E.<br>SPERC<br>2.1.b.v3 | A.I.S.E. – Formulation of granular<br>Detergents/Maintenance Products:<br>Regular & Compact (medium scale<br>1,000 – 10,000 t product/a) | 2                | 300                                      | yes          | 0.001                         | 0.001                                | 0                              | yes                          | *                |
| A.I.S.E.<br>SPERC<br>2.1.c.v3 | A.I.S.E. – Formulation of granular<br>Detergents/Maintenance Products:<br>Regular & Compact (small scale<br><1,000 t product/a)          | 2                | 150                                      | yes          | 0.001                         | 0.002                                | 0                              | yes                          | *                |
| A.I.S.E.<br>SPERC<br>2.1.g.v3 | A.I.S.E. – Formulation of liquid Detergents/Maintenance Products: Low Viscosity (large scale >10,000 t/product a)                        | 2                | 300                                      | yes          | 0                             | 0.0005                               | 0                              | yes                          | *                |
| A.I.S.E.<br>SPERC<br>2.1.h.v3 | A.I.S.E. – Formulation of liquid Detergents/Maintenance Products: Low Viscosity (medium scale 1,000 – 10,000 t product/a)                | 2                | 300                                      | yes          | 0                             | 0.001                                | 0                              | yes                          | *                |
| A.I.S.E.<br>SPERC<br>2.1.i.v3 | A.I.S.E. – Formulation of liquid Detergents/Maintenance Products: Low Viscosity (small scale <1,000 t product/a)                         | 2                | 150                                      | yes          | 0                             | 0.002                                | 0                              | yes                          | *                |
| A.I.S.E.<br>SPERC<br>2.1.j.v3 | A.I.S.E. – Formulation of liquid Detergents/Maintenance Products: High Viscosity (large scale >10,000 t/product a)                       | 2                | 300                                      | yes          | 0                             | 0.001                                | 0                              | yes                          | *                |
| A.I.S.E.<br>SPERC<br>2.1.k.v3 | A.I.S.E. – Formulation of liquid Detergents/Maintenance Products: High Low Viscosity (medium scale 1,000 – 10,000 t product/a)           | 2                | 300                                      | yes          | 0                             | 0.002                                | 0                              | yes                          | *                |



| SPERC Code                               | SPERC description   | Specifies<br>ERC | Release<br>times per<br>year<br>(d/year) | With<br>STP: | Release<br>fraction to<br>air | Release<br>fraction to<br>wastewater | Release<br>fraction to<br>soil | Standard<br>municipal<br>STP | MSPERC<br>(kg/d) |
|--|---|------------------|--|--------------|-------------------------------|--------------------------------------|--------------------------------|------------------------------|------------------|
| A.I.S.E.<br>SPERC<br>2.1.I.v3            | A.I.S.E. – Formulation of liquid Detergents/Maintenance Products: High Viscosity (small scale <1,000 t product/a) | 2                | 150                                      | yes          | 0                             | 0.004                                | 0                              | yes                          | *                |
| Cosmetics<br>Europe<br>SPERC<br>2.1.a.v2 | Cosmetics Europe – Formulation of low viscosity liquids (large scale)   | 2                | 250                                      | yes          | 0                             | 0.001                                | 0                              | yes                          | *                |
| Cosmetics<br>Europe<br>SPERC<br>2.1.b.v2 | Cosmetics Europe – Formulation of low viscosity liquids (medium scale)  | 2                | 250                                      | yes          | 0                             | 0.002                                | 0                              | yes                          | *                |
| Cosmetics<br>Europe<br>SPERC<br>2.1.c.v2 | Cosmetics Europe – Formulation of low viscosity liquids (small scale)   | 2                | 250                                      | yes          | 0                             | 0.004                                | 0                              | yes                          | *                |
| Cosmetics<br>Europe<br>SPERC<br>2.1.d.v2 | Cosmetics Europe – Formulation of Fine Fragrances – Cleaning with Water   | 2                | 250                                      | yes          | 0                             | 0.015                                | 0                              | yes                          | *                |
| Cosmetics<br>Europe<br>SPERC<br>2.1.f.v2 | Cosmetics Europe – Formulation of High Viscosity Body Care Products (medium scale)                                | 2                | 250                                      | yes          | 0                             | 0.01                                 | 0                              | yes                          | *                |
| Cosmetics<br>Europe<br>SPERC<br>2.1.g.v2 | Cosmetics Europe – Formulation of High Viscosity Body Care Products (small scale)                                 | 2                | 250                                      | yes          | 0                             | 0.02                                 | 0                              | yes                          | *                |
| Cosmetics<br>Europe<br>SPERC<br>2.1.h.v2 | Cosmetics Europe – Formulation of Non-liquid Creams (large scale)   | 2                | 250                                      | yes          | 0                             | 0.01                                 | 0                              | yes                          | *                |
| Cosmetics<br>Europe<br>SPERC<br>2.1.i.v2 | Cosmetics Europe – Formulation of Non-liquid Creams (medium scale)  | 2                | 250                                      | yes          | 0                             | 0.02                                 | 0                              | yes                          | *                |



| SPERC Code                                    | SPERC description   | Specifies<br>ERC | Release<br>times per<br>year<br>(d/year) | With<br>STP: | Release<br>fraction to<br>air | Release<br>fraction to<br>wastewater | Release<br>fraction to<br>soil | Standard<br>municipal<br>STP | MSPERC<br>(kg/d) |
|---|---|------------------|--|--------------|-------------------------------|--------------------------------------|--------------------------------|------------------------------|------------------|
| Cosmetics<br>Europe<br>SPERC<br>2.1.j.v2      | Cosmetics Europe – Formulation of Non-liquid Creams (small scale)   | 2                | 250                                      | yes          | 0                             | 0.04                                 | 0                              | yes                          | *                |
| Cosmetics<br>Europe<br>SPERC<br>2.2.a&b&cv2   | Formulation of cosmetic products involving cleaning with organic solvents (varnish, removers, decorative cosmetics, spray, lacquer, fine fragrance, solar oil, solid products) (all scales) | 2                | 250                                      | yes          | 0                             | 0                                    | 0                              | yes                          | *                |
| Cosm. Eur. /<br>A.I.S.E.<br>SPERC<br>2.3.a.v3 | Cosmetics Europe / A.I.S.E. – Formulation of solid cosmetic and home care products (large scale >10,000 t/product a)  | 2                | 300                                      | yes          | 0.00006                       | 0.0005                               | 0                              | yes                          | *                |
| Cosm. Eur. /<br>A.I.S.E.<br>SPERC<br>2.3.b.v3 | Cosmetics Europe / A.I.S.E. – Formulation of solid cosmetic and home care products (medium scale 1,000 – 10,000 t product/a)  | 2                | 300                                      | yes          | 0.00006                       | 0.001                                | 0                              | yes                          | *                |
| Cosm. Eur. /<br>A.I.S.E.<br>SPERC<br>2.3.c.v3 | Cosmetics Europe / A.I.S.E. – Formulation of solid cosmetic and home care products (small scale <1,000 t product/a)   | 2                | 150                                      | yes          | 0.00006                       | 0.002                                | 0                              | yes                          | *                |

<sup>\*</sup>for AISE and Cosmetics Europe M<sub>SPERCs</sub> please refer to the AISE/Cosmetics Europe Background Documents for formulation (ERC 2)



Table 14.2: IFRA proposal for grouping of A.I.S.E. and Cosmetics Europe SPERCs based on release rates to water\*

| IFRA SPERC Group Title   | A.I.S.E./Cosmetics Europe<br>SPERC Codes | Release<br>times<br>per year<br>(d/year) | Release<br>fraction<br>to air | Release<br>fraction<br>to<br>wastewa<br>ter | Release<br>fraction<br>to soil | Size of site                  | %-<br>range<br>of EU<br>Tonna<br>ge | IFRA<br>SPERC<br>Group<br>(SG)<br>Code |
|--|--|--|-------------------------------|---|--------------------------------|-------------------------------|-------------------------------------|--|
| A.I.S.E. Granular & Low Viscosity Liquids + CE/A.I.S.E. solid cosmetic & home care products – large scale  | A.I.S.E. 2.1.a, g<br>CE/A.I.S.E. 2.3.a   | 300                                      | 0.001                         | 0.0005                                      | 0                              | large                         | 30-45%                              | IFRA<br>SG-1                           |
| A.I.S.E. Granular & Low Viscosity Liquids + CE/A.I.S.E. solid cosmetic & home care products – medium scale | A.I.S.E. 2.1.b, h<br>CE/A.I.S.E. 2.3.b   | 300                                      | 0.001                         | 0.001                                       | 0                              | medium                        | 10-18%                              | IFRA<br>SG-2                           |
| A.I.S.E. Granular & Low Viscosity Liquids+ CE/A.I.S.E. solid cosmetic & home care products – small scale   | A.I.S.E. 2.1.c, i<br>CE/A.I.S.E. 2.3.c   | 150                                      | 0.001                         | 0.002                                       | 0                              | small                         | 9-14%                               | IFRA<br>SG-3                           |
| A.I.S.E. High Viscosity Liquids + CE Low Viscosity Liquids – large scale                                   | A.I.S.E. 2.1.j<br>CE 2.1.a               | 300**                                    | 0                             | 0.001                                       | 0                              | large                         | 8-13%                               | IFRA<br>SG-4                           |
| A.I.S.E. High Viscosity Liquids + CE Low Viscosity Liquids – medium scale                                  | A.I.S.E. 2.1.k<br>CE 2.1.b               | 300**                                    | 0                             | 0.002                                       | 0                              | medium                        | 2-7%                                | IFRA<br>SG-5                           |
| A.I.S.E. High Viscosity Liquids + CE Low Viscosity Liquids – small scale                                   | A.I.S.E. 2.1.I<br>CE 2.1.c               | 150**                                    | 0                             | 0.004                                       | 0                              | small                         | 2-7%                                | IFRA<br>SG-6                           |
| CE Fine Fragrances (cleaning with solvent) – all scales  | CE 2.2.a-c (v2)                          | 250                                      | 0                             | 0   | 0                              | small,<br>medium<br>and large | 12-20%                              | IFRA<br>SG-7                           |
| ERC 2 default – all scales   | CE 2.1.d-j (v2)                          | 250                                      | 0                             | 0.02  | 0                              | small,<br>medium<br>and large | 1-2%                                | IFRA<br>SG-8                           |

<sup>\*</sup>Release rates to air differ within these groups. The worst-case release rate is covered in this grouping. If this does not result in safe use conditions each SPERC has to be calculated individually.

<sup>\*\*</sup> In version 2 of their SPERCs CE is taking into account 250 release days.



# 3.4.4 Professional end-use of fragranced end-products (including uses at industrial sites): GES 3-4

This chapter introduces the following two GESs, based on information provided by A.I.S.E.:

- GES 3 Professional end-use of washing and cleaning and disinfecting products (including use at industrial site) (Table 15)
- GES 4 Professional end-use of polishes and wax blends (Table 16)

In March 2022 A.I.S.E. has done their last update on the improved Use Maps and their SWEDs. Two new SWEDs have been added: the AISE\_SWED\_PW\_8b\_1 and AISE\_SWED\_PW\_8b\_2 – for Transfer of products via a dedicated system (bottle/bucket/machine). The Tables 15 and 16 are taking into account these modifications.

In previous versions of the IFRA Guidance REACH ES for Fragrance Substances industrial end-use of fragranced end-products was included as a separate use. For this version the uses were reevaluated and specifically the use of fragranced end-products for cleaning industrial equipment was discussed. The IFRA Working Group decided that these products are used for institutional cleaning and thus this use should be regarded rather a professional use than an industrial use. ECHA guidance R12 (page 59 and further) uses the following distinction in description for ERC4 (use of nonreactive processing aids at industrial sites, previously assigned to the industrial use scenario) and ERC8a (wide dispersive use of non-reactive processing aids for the professional use scenario):

- ERC4: Production activities where the substance is used as a cleaning agent (solvent or surfactant)
- ERC8a: Down the drain product like e.g., Use of detergents in fabric washing, use of machine wash liquids and lavatory cleaners, use of automotive and bicycle care products (polishes, lubricants, de-icers)

The IFRA Working Group considers indeed fragrances to be included in those products described by ERC8a but not those covered under ERC4. The latter being more cleaning of distillation equipment where normally no fragrance containing products are involved.

With respect to an environmental exposure assessment the professional use of cleaning products including uses at industrial sites is similar to the end-use of cleaning products by private households and can be considered a wide-dispersive use. Instead of breaking up the volumes going to either of these uses it could be considered to assess the total volume going to these uses, as in practice it is almost impossible to identify how much of a substance will go where. If such an approach is followed in the CSA it should be thoroughly explained. This approach can be justified since the total volume of fragrance substances that is applied for professional use is a fraction of the volumes going to consumer uses. The approach can therefore be considered as a realistic approach as all these products go down the drain and only the municipal treatment plant is considered for wide-dispersive use.

The new Professional use from Cosmetics Europe "Hairdressing services" is covered by cosmetic regulation for human health. The environment for this use is covered by GES9 "Consumer (and Professional) end-use of cosmetics" as the same ERCs/SPERCs are relevant (see chapter 3.4.5.3). Therefore, no additional GES for "Hairdressing services" is necessary.

In a professional setting the washing and cleaning products or polishes and wax blends are used by workers for public and/or private hygiene. Workers may be, for example, specialised cleaners that use



similar products frequently on a daily basis. Thus, their exposure is in general not comparable to that of the regular consumer.

The concentration of a fragrance substance in washing and cleaning end-products or polishes and wax blends may be lower than the applicable concentration limit as listed in REACH Article 14.2 (see chapter 3.4). In this case an Exposure Scenario for this end-use would not be required. However, this should always be reviewed carefully on a substance specific basis and if insufficient or inconclusive information is available about the concentration levels of a fragrance substance, Exposure Scenarios for these end-uses should be included in the registration dossier by default.

# 3.4.4.1 Occupational exposure during professional end-use of fragranced end-products

For the preparation and use of these products, the operators will dose and mix the products in water and use them in liquid form with rollers, brushes or sprays. In other applications they may treat articles by dipping, pouring or immersion.

For quantitative professional worker exposure estimation by inhalatory and dermal routes, ECETOC TRA V3 can be used as a Tier 1 model. A.I.S.E. provided information on the use descriptors and refined Tier 1 TRA inputs for the two GESs (3 and 4) in terms of SWEDs.

The contributing scenarios and refined Tier 1 TRA inputs for GES3 and GES4 are given in the following Tables 15 and 16. The A.I.S.E. SWEDs have been grouped based on their similarity in activity. The similarity is shown by the activity description, same PROC code and same Operational Conditions (temperature, duration, percentage in the mixture). If A.I.S.E. SWEDs differ only in the advised PPE (e.g., gloves) the SWED with the less stringent conditions (e.g., without gloves) should be used for risk assessment. Only if safe use can't be demonstrated under these conditions, the more stringent conditions should be considered. The SWEDs with the more stringent conditions are the ones put in brackets in Table 15 and 16. As the SWEDs for PROC8a and PROC8b cover the same operational conditions and PPE, the SWEDs for PROC8a (non-dedicated facilities) cover the SWEDs for PROC8a, PROC8b has to be assessed.

The default temperature for A.I.S.E. SWEDs is ambient temperature (25°C).

All A.I.S.E. SWEDs are available in the chr3 format for use in CHESAR. If those are used the percentage of substance in the mixture has to be adjusted from 100% (A.I.S.E. default) to < 1% as relevant for fragrance substances. If the typical Ocs and RMMs as defined in the SWEDs do not result in safe use, the activities have to be assessed individually.





Table 15: Overview of typical refined Tier 1 inputs for all contributing scenarios of GES3 Professional end-use of washing and cleaning products (including use at industrial sites)

GES3 - Professional end-use of washing, cleaning and disinfecting products (including use at industrial sites) Worker Exposure Modifier Tier1 ECETOC TRA V3 **Contributing Scenario Process** category A.I.S.E. SWED n° indoor gloves and operating respiratory duration % outdoor other dermal (PROC) temp.°C protection without Name Description protection with LEV LEV Application of the product is highly automated. Cleaning in Professional use; Use AISE\_SWED\_PW\_1\_1 PROC1 25°C > 4 hours < 1 % place within highly enclosed in closed process production equipment. Automated or semi-automated Professional uses: Use application of products in a AISE\_SWED\_PW\_3\_1 PROC3 25°C > 4 hours < 1 % Х in closed process closed process with occasional exposure. Automated or semi-automated Professional uses: PROC4 AISE\_SWED\_PW\_4\_1 25°C application of products in a semi-> 4 hours < 1 % Х Semi-closed system closed process. Liquid. Transfer of liquid from (AISE\_SWED\_PW\_8a\_1\_L) Transfer of product to a large container into a bottle. (AISE\_SWED\_PW\_8a\_1\_S) 15 min to 1 25°C container (bottle/ PROC8a bucket or machine, without < 1 %  $(x)^*$ Х AISE\_SWED\_PW\_8a\_2\_L hour bucket/ machine) dedicated equipment and AISE\_SWED\_PW\_8a\_2\_S containment techniques in place. Transfer from large container Transfer of a product AISE\_SWED\_PW\_8b\_1 into a bottle, bucket or machine 15 min to 1 PROC8b 25°C < 1 % via a dedicated system  $(x)^*$ Х (AISE\_SWED\_PW\_8b\_2) with dedicated equipment and hour (bottle/bucket/machine) containment techniques in place.

<sup>\*</sup>dermal protection to be considered only for A.I.S.E. SWED in brackets (X)



#### GES3 - Professional end-use of washing, cleaning and disinfecting products (including use at industrial sites) Worker Exposure Modifier Tier1 ECETOC TRA V3 **Contributing Scenario Process** category A.I.S.E. SWED n° indoor gloves and operating respiratory % other dermal duration outdoor (PROC) temp.°C protection without Name Description protection with LEV LEV Professional uses: Brushing after trigger AISE\_SWED\_PW\_10\_1 Brushing after trigger spraying or brushing with PROC10 25°C > 4 hours < 1 % х (x)\* (AISE\_SWED\_PW\_10\_2) spraying or brushing with tools Professional uses: AISE\_SWED\_PW\_11\_1 Spraying application of 15 min to PROC11 25°C < 1 % (x) \* Trigger spraying and Х (AISE\_SWED\_PW\_11\_2) product. 1 hour spraying Professional uses: (AISE\_SWED\_PW\_11\_3) Spraying application of PROC11 25°C < 1 % (x)\* Trigger spraying and > 4 hours Х AISE\_SWED\_PW\_11\_4 product. spraying Professional uses; (AISE\_SWED\_PW\_13\_1) Treatment of articles by Treatment of articles by 15 min to PROC13 25°C < 1 % Х (x) \* AISE\_SWED\_PW\_13\_2 dipping, soaking or dipping or pouring. 1 hour pouring; Professional uses; Treatment of articles by Treatment of articles by AISE SWED PW 13 3 PROC13 25°C <15 min < 1 % Х dipping, soaking or dipping or pouring. pouring; short-term

PROC19

25°C

> 4 hours

< 1 %

Manual application of

product.

Professional uses:

Manual application

AISE\_SWED\_PW\_19\_1

(AISE\_SWED\_PW\_19\_2)

Х

(x) \*

<sup>\*</sup>dermal protection to be considered only for A.I.S.E. SWED in brackets (X)



Table 16: Overview of typical refined Tier 1 inputs for all contributing scenarios of GES4 Professional end-use of polishes and wax blends

### GES4 - Professional end-use of polishes and wax blends Worker Exposure Modifier Tier1 ECETOC TRA V3 **Contributing Scenario Process** category A.I.S.E. SWED n° indoor gloves and operating respiratory duration % other dermal outdoor (PROC) temp.°C protection without Name Description protection with LEV LEV Professional uses; Fully closed AISE\_SWED\_PW\_1\_1 PROC1 25°C not specified > 4 hours < 1 % equipment Professional uses; Semi-closed AISE\_SWED\_PW\_4\_1 not specified PROC4 25°C > 4 hours < 1 % Х system Transfer of professional product (AISE\_SWED\_PW\_8a\_1\_S) 15 min to 1 25°C via a dedicated system not specified PROC8a < 1 % $(x)^*$ AISE\_SWED\_PW\_8a\_2\_S hour (bottle/machine); medium RMM AISE\_SWED\_PW\_10\_1 Professional uses; Brushing not specified PROC10 25°C > 4 hours < 1 % (x)\* (AISE\_SWED\_PW\_10\_2) Professional uses; Treatment of (AISE\_SWED\_PW\_13\_1) 15 min to 1 PROC13 25°C < 1 % articles by dipping, soaking or not specified (x)\* Х AISE\_SWED\_PW\_13\_2 hour pouring; including short-term Professional uses; Treatment of PROC13 25°C AISE\_SWED\_PW\_13\_3 articles by dipping, soaking or not specified < 15 min < 1 % Х pouring; including short-term AISE\_SWED\_PW\_19\_1 Professional uses; Manual PROC19 25°C > 4 hours not specified < 1 % (x)\* Х (AISE\_SWED\_PW\_19\_2) application; medium RMM

<sup>\*</sup>dermal protection to be considered only for A.I.S.E. SWED in brackets (X)



# 3.4.4.2 Environmental emission during professional end-use of fragranced end-products

In general, after use, the water containing the cleaning products is discharged to the sewer to go to an industrial or municipal wastewater treatment plant. In some cases, residues of products may remain on the hard surfaces or are released to air or soil. The most common ERC for professional use is ERC8a (indoor use).

SPERCs developed by A.I.S.E. and Cosmetics Europe related to professional and consumer end-use of fragranced end-products can be used to refine exposure assessment. The environmental release fractions and other relevant parameters as identified by A.I.S.E. and Cosmetics Europe are presented in Table 17 below<sup>6</sup>.



<sup>6</sup> See A.I.S.E. website <a href="https://www.aise.eu/our-activities/regulatory-context/reach/description-of-uses.aspx">https://www.aise.eu/our-activities/regulatory-context/reach/description-of-uses.aspx</a> and Cosmetics Europe website <a href="https://cosmeticseurope.eu/cosmetics-industry/cosmetics-industry-and-reach/">https://cosmeticseurope.eu/cosmetics-industry/cosmetics-industry-and-reach/</a>



Table 17: A.I.S.E. and Cosmetics Europe SPERCs for Professional and Consumer end-use of fragranced end-products for Tier 1.5 assessment

| SPERC Code                       | SPERC description   | Specifics<br>ERC | Fraction used at main source | Fraction of<br>EU tonnage<br>used in<br>region | Release times<br>per year<br>(d/year) | Release<br>fraction to<br>air | Release<br>fraction to<br>wastewater | Release<br>fraction to<br>soil | Standard<br>municipal STP |
|----------------------------------|---|------------------|------------------------------|--|---------------------------------------|-------------------------------|--------------------------------------|--------------------------------|---------------------------|
| A.I.S.E.<br>SPERC<br>8a.1.a.v3   | A.I.S.E Wide Spread Use in 'Down the Drain' laundry detergents, cleaning and maintenance products (Consumers and Professionals) | 8a               | 0.00075                      | 0.04   | 365                                   | 0                             | 1                                    | 0                              | yes                       |
| A.I.S.E.<br>SPERC<br>8a.1.b.v3   | A.I.S.E Wide Spread Use in Aerosol products for cleaning and maintenance products (non-volatile)                                | 8a               | 0.00075                      | 0.04   | 365                                   | 0                             | 1                                    | 0                              | yes                       |
| A.I.S.E.<br>SPERC<br>8a.1.c.v3   | A.I.S.E Wide Spread Use in Aerosol products for cleaning and maintenance products (volatile, incl. propellants)                 | 8a               | 0.00075                      | 0.04   | 365                                   | 1                             | 0                                    | 0                              | yes                       |
| Cosmetics<br>Europe<br>8a.1.a.v2 | Wide Dispersive Use in 'Down the Drain' products - hair and skin care products (Consumers and Professionals)                    | 8a               | 0.00075                      | 0.053  | 365                                   | 0                             | 1                                    | 0                              | yes                       |
| Cosmetics<br>Europe<br>8a.1.b.v2 | Wide Dispersive Use in<br>Aerosol products for hair<br>and skin care (Propellants)  | 8a               | 0.00075                      | 0.053  | 365                                   | 1                             | 0                                    | 0                              | yes                       |
| Cosmetics<br>Europe<br>8a.1.c.v2 | Wide Dispersive Use of<br>Aerosol products for hair<br>and skin care (non-<br>Propellants)                                      | 8a               | 0.00075                      | 0.053  | 365                                   | 0                             | 1                                    | 0                              | yes                       |



# 3.4.5 Consumer use of fragranced end-products: GES 5, 6, 7, 8 and 9

The following should be taken into account for the assessment of consumer exposure:

The human health aspects of cosmetics have already been assessed under the Cosmetic Products Regulation (CPR) ((EC) No 1223/2009) and as such are not covered by REACH as indicated below:

Article 14, paragraph 5b stipulates that: "The chemical safety report need not include consideration of the risks to human health from the following end uses; (b) in cosmetic products within the scope of Directive 76/768/EEC". Please note that oral care products (e.g., toothpaste, mouthwash, etc.) also fall under the CPR.

Furthermore, for assessment of consumer exposure reference to Article 14.2 should be made as the concentration in these products may be lower than the applicable concentration limit as listed in REACH Article 14.2 (see chapter 3.4). In this case an Exposure Scenario for this end-use would not be required. However, this should always be reviewed carefully on a substance specific basis and if insufficient or inconclusive information is available about the concentration levels of a fragrance substance, Exposure Scenarios for these end-uses should be included in the registration dossier by default.

### 3.4.5.1 Presentation of categories and articles for fragranced end-products

Fragranced end-products are available to consumers in the general public and in private households. They are grouped in Product Categories (PC).

A special case is the incorporation of fragrance compounds into fragranced articles. In the sense of REACH, the fragrance is a substance intended to be released from the article. However, articles containing fragrances are not considered in the IFRA guidance since the concentrations of fragrance substances in these articles are below the REACH regulatory limit of 0.1%.

In the IFRA guidance, fragranced end-product Categories (PC) are divided into five Generic Exposure Scenarios (GES) based on the Use Descriptor system of the ECHA guidance Chapter R.12 as described in Table 3 (chapter 3.2).

- GES 5 Consumer end-use of washing and cleaning products (PC 35)
- GES 6 Consumer end-use of air care products (PC 3)
- GES 7 Consumer end-use of biocides (PC 8)
- GES 8 Consumer end-use of polishes and wax blends (PC 31)
- GES 9 Consumer (and Professional) end-use of cosmetics (PC 28 & PC 39)

# 3.4.5.2 Estimation of consumer exposure: tiered exposure assessment methodology

The main exposure route for the consumer is the dermal route for washing and cleaning products (PC 35), polishes and waxes (PC31) and biocides (PC8). The inhalation route is mainly applicable for air care products (PC3) but as can be anticipated some inhalation exposure may also occur for PC35, PC31 and PC8.

A.I.S.E. has specified the potential consumer exposure to their products on (<a href="https://www.AISE.eu/our-activities/regulatory-context/reach/consumer-safety-exposure-assessment.aspx">https://www.AISE.eu/our-activities/regulatory-context/reach/consumer-safety-exposure-assessment.aspx</a>) including the routes of exposure as described below (Table 18).



Table 18: A.I.S.E. potential consumer exposure

| Product Category            | Product type          | Form of delivery        | Oral         | Dermal       | Inhalation   |
|-----------------------------|-----------------------|-------------------------|--------------|--------------|--------------|
| Fabric Washing              | Heavy duty detergents | Powder                  | Accidental   | Expected     | Not expected |
| _                           |                       | Liquid                  | Accidental   | Expected     | Not expected |
|                             |                       | Unit doses (caps, tabs) | Accidental   | Expected     | Not expected |
|                             | Light duty detergents | Powder                  | Accidental   | Expected     | Not expected |
|                             |                       | Liquid                  | Accidental   | Expected     | Not expected |
|                             |                       | Unit doses (caps, tabs) | Accidental   | Expected     | Not expected |
|                             | Fabric conditioners   |                         | Accidental   | Expected     | Not expected |
| Dish Cleaning               | Hand dish             |                         | Expected     | Expected     | Not expected |
| and the state of the second | Machine dish          |                         | Expected     | Not expected | Not expected |
|                             | Rinse aids            |                         | Expected     | Not expected | Not expected |
| Hard Surface Cleaners       | General purpose       | Spray                   | Not expected | Expected     | Expected     |
|                             | Toilet rim blocks     |                         | Accidental   | Accidental   | Expected     |
| Air Care Products           | Air freshener sprays  |                         | Not expected | Accidental   | Expected     |
|                             | Electric diffusers    |                         | Accidental   | Accidental   | Expected     |

Note: Accidental exposure is indicated only for product stewardship reasons, not for Exposure Scenarios development.

Consumers may be exposed to fragrance substances directly via the use of fragranced end-products, e.g., washing and cleaning agents or air fresheners, but also indirectly by contact with residues on surfaces such as washed clothes. The oral route is potentially applicable for residues of dishwashing agents on plates but this will be a very minor exposure route. The oral route for consumers may be relevant for small children engaging in common habits of mouthing (e.g., plastic or wood articles as toys). However, an exposure assessment for toys is not needed because the fragrance substance concentrations in these articles are expected to be below 0.1%. Intentional human exposure from food and medicinal products is considered under other Directives/Regulations. Unintentional human exposure via food, drinking water and air is considered in the environmental section. For these reasons consumer exposure by oral route does not need to be assessed in these cases.

The evaluation of exposure for consumer refers to external exposure which is characterised by the amount of a substance that can be absorbed after inhalation, dermal contact or oral intake during indirect contact with residues (e.g., machine dishwashing). The aim of this evaluation is to generate information that can be compared to DNELs which are also expressed as external exposure values. Hence, the consumer exposure estimation will need to consider three separate routes (inhalation and dermal routes, oral route by indirect contact with residues).

Furthermore, a tiered exposure assessment methodology is applied to estimate the consumer exposure for fragranced end-products according to the A.I.S.E. general Figure 7 as following:





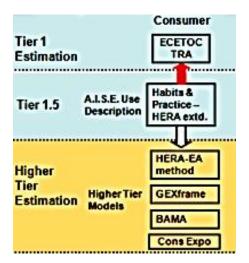


Figure 7: General picture of tiered Exposure Assessment according to A.I.S.E.

**Tier 1 assessment:** Tier 1 assessment generates exaggerated exposure estimates that may exceed plausible levels. For several uses, basic use information (named use descriptions) is available from ECETOC TRA Consumer tool which lists default exposure parameters and relevant exposure routes for each product/article, together with subpopulation-specific (child, adult) default parameters (see Annex 1). Moreover, use description and mapping for cleaning and maintenance products (PC3, PC31 and PC35) are available from A.I.S.E as described in Table 8 and Table 9 (chapter 3.3). These use descriptors are the input to perform the assessment using the ECETOC TRA exposure estimation consumer tool.

In general, it is expected that the Tier 1 assessment will be skipped and that a Tier 1.5 assessment will be the starting point for fragrance substances.

Tier 1.5 assessments: To refine the Tier 1 exposure estimations, specific data on operational conditions such as duration/use or amount/use can be used to give more realistic refined screening exposure estimations. Hence, IFRA has collected data on the fraction of fragrance substance in fragranced end-products for all contributing scenarios of consumer Generic Exposure Scenarios (GES) as described in Table 19. These concentrations are based on a high-volume common fragrance substance and its typical concentration in these consumer end products (median value). These typical concentrations can be used as such in the exposure estimation tools of e.g., A.I.S.E. A.I.S.E. has collected additional information on PC3, PC31 and PC35 including refined exposure parameters such as amount in the fragranced end-product for event, duration and frequency of exposure and incorporated those in their SCEDs. This information has been collected among others as a part of the HERA project of A.I.S.E.<sup>7</sup>(See Annex 2).

All A.I.S.E. SCEDs are available in the chr3 format for use in CHESAR. If those are used the concentration of substance in mixture has to be adjusted to the fragrance specific concentrations mentioned in Table 19.

<sup>&</sup>lt;sup>7</sup> **HERA**: Human and Environmental Risk Assessment project of A.I.S.E.. This is a voluntary industry programme to carry out risk assessments on ingredients of household cleaning products.



The overall information can be processed in the ECETOC TRA exposure assessment tool. However, A.I.S.E. also provides a tool named A.I.S.E. Reach Exposure Assessment Consumer Tool or A.I.S.E. REACT (<a href="https://www.AISE.eu/our-activities/regulatory-context/reach/consumer-safety-exposure-assessment.aspx">https://www.AISE.eu/our-activities/regulatory-context/reach/consumer-safety-exposure-assessment.aspx</a>) which allows quantitative estimation of systemic consumer exposures to substances such as fragrances that are present in end-products used by consumers.

For PC8 fragranced insecticides, exposure estimations for consumer can only be approximated by the ECETOC TRA or A.I.S.E. REACT for the air care products (PC3) assuming that the fragranced insecticides used against flies, mosquitoes and crawling insects are:

- sprayed using a ready-to-use aerosol can or a ready-to-use trigger spray
- evaporated slowly by heating using electrical evaporator.

Therefore, the Tier 1.5 scenario of aerosol air fresheners for neat spray and non-aerosol air fresheners for heated diffuser can be applied to Insecticides. For PC8 fragranced repellents, no Tier 1 or Tier 1.5 scenario is available to estimate or approximate the exposure. Therefore, only a higher Tier tool such as ConsExpo tool can be used to estimate the exposure and to assess the risk for the consumer.

**Higher-Tier assessments:** To predict consumer exposure to end-products (biocidal product category, essentially), the Dutch National Institute for Public Health and the Environment (RIVM) has developed the software model ConsExpo based on mathematical estimations. This program is designed for the use by expert exposure assessors only. To enhance transparency and standardization for a number of PC, default parameter values have been compiled in several <u>Fact Sheets available on their website</u>. However, for fragrance substance included in repellents (biocidal products/PC8), as neither Tier 1 nor Tier 1.5 scenario is available, the registrant has to estimate the exposure using the Tier 2 ConsExpo tool and a specific scenario described in the <u>Pest Control Products fact sheet.</u>

Finally, there are numerous different types of products which could be considered when estimating consumer exposure to fragranced end-products, as outlined in the identified uses (Table 8 and Table 9). However, the majority of product types lead to only low levels of exposure due to low incorporation and release levels of fragrance substances. Therefore, it is unlikely that higher-Tier assessments have to be performed.





Table 19: Overview of typical Tier 1.5 inputs for contributing scenarios of GES 5, 6, 7 and 8

| GES no. and title / Product | Contributing Sce           | narios  |   | Fragrance<br>compound<br>in<br>fragranced | Fragrance<br>substance<br>in<br>fragranced |
|-----------------------------|----------------------------|---|---|---|--|
| (PC)                        | A.I.S.E. SCED              | name  | end-<br>products<br>(%)*  | end-<br>products<br>(%)**                 |  |
|                             |                            | Laundry products                              | regular, compact, hand wash and automatic wash laundry products   | 1   | 0.05                                       |
|                             |                            |   | a) laundry regular (powder, liquid)   | 1   | 0.05                                       |
|                             | A.I.S.ESCED_<br>PC35_1_a_1 |   | 1   | 0.05                                      |  |
|                             |                            | c) laundi                                     | 1   | 0.05                                      |  |
|                             |                            | d) laundry a                                  | 0.5   | 0.025                                     |  |
|                             | A.I.S.ESCED_<br>PC35_2_a_1 | Fabric conditioners                           | regular and compact fabric conditioners products  | 2   | 0.10                                       |
| GES5 -                      |                            | Surface cleaners;<br>Non-spray<br>application | non- spray surface cleaning products such as liquid all-purpose cleaners, toilet cleaners, floor cleaners | 6   | 0.30                                       |
| Consumer end-use of         |                            |   | a) Surface cleaners (liquid, powder, gel neat)  | 2   | 0.10                                       |
| washing and cleaning        | A.I.S.ESCED_<br>PC35_3_a_1 |   | b) Toilet cleaners (powder, liquid, gel, tablet)  | 6   | 0.30                                       |
| products /<br>PC35          |                            |   | c) Carpet cleaners (liquid)   | 2   | 0.10                                       |
|                             |                            |   | d) Wipes (bathroom, kitchen, floor)   | 2   | 0.10                                       |
|                             |                            |   | e) High pressure washers/cleaners (liquid)  | 2   | 0.10                                       |
|                             |                            |   | f) Automotive care (liquid)   | 5   | 0.25                                       |
|                             |                            | Liquid surface cleaner;<br>Spray application  | trigger sprays used as general hard surface cleaners  | 5   | 0.25                                       |
|                             |                            | -t-my abbarance.                              | a) Surface cleaners (spray neat)  | 2   | 0.10                                       |
|                             | A.I.S.ESCED_<br>PC35_3_b_1 |   | b) Oven cleaners (trigger spray)  | 2   | 0.10                                       |
|                             |                            |   | c) Carpet cleaners (spray)  | 2   | 0.10                                       |
|                             |                            |   | d) Automotive care (spray)  | 5   | 0.25                                       |



|   | A.I.S.ESCED_<br>PC35_4_a_1                                   | Machine<br>dishwashing<br>products                             | automatic dishwashing products   | 1   | 0.05 |
|---|--|--|--|-----|------|
|   | A.I.S.ESCED_<br>PC35_5_a_1                                   | Hand dishwashing products                                      | hand dishwashing products  | 1   | 0.05 |
| GES6 -  | A.I.S.ESCED_   | Air care products;<br>non-aerosol                              | non-aerosol air fresheners such as plug-<br>ins, perfume in/on solid substrates (e.g.<br>gels), candles, and diffusers (e.g. heated) | 100 | 5    |
| Consumer end-use of air                             | PC3_7_a_1  | a) perf  | ume in/on solid substrate (gel), diffusers (heated)  | 100 | 5    |
| care<br>products                                    |  |  | b) candles   | 10  | 0.5  |
| / PC 3  | A.I.S.ESCED_<br>PC3_7_b_1                                    | Air care products; aerosol                                     | air freshener aerosols such as aqueous,<br>non-aqueous, and concentrated mini-<br>aerosols   | 5   | 0.25 |
| GES7 -<br>Consumer<br>end-use of<br>biocides        | none   | Insecticides: liquid<br>electric, spray neat<br>(AISE_C_04_v1) |  | 20  | 1.00 |
| / PC 8  | none   | Repellents<br>(AISE_C_004_v1)                                  |  |     |      |
| GES8 -<br>Consumer                                  | A.I.S.ESCED_<br>PC31_6_a_1                                   | Polishes and wax<br>blends; Non Spray<br>application           | non- spray polishes, cream and wax products for items such as furniture, floors, and shoes   |     |      |
| end-use of<br>polishes and<br>wax blends<br>/ PC 31 | oolishes and wax blends  A.I.S.ESCED_ PC31 6 b 1  PC31 6 b 1 |  | spray polishes and waxes for items such as furniture, floors, and shoes  | 2   | 0.10 |

Industrial Common Practices: upper limit percentages of fragrance compounds in fragranced end-products.

# 3.4.5.3 Environmental exposure during consumer use of fragranced end-products

Some products will be completely discharged 'down the drain' (rinse-off cosmetics, laundry detergents) whereas others will not be discharged to the sewer (shoe polish, dry cleaning). By nature, air fresheners will end up in the air. The identified ERC for consumer end use is ERC8a.

SPERCs developed by A.I.S.E. and Cosmetics Europe related to professional and consumer enduse of fragranced end-products can be used to refine exposure assessment. The environmental release fractions and other relevant parameters as identified by A.I.S.E. and Cosmetics Europe are presented in Table 17 above<sup>8</sup>.



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<sup>&</sup>quot;Industrial Common Practices: calculated using a realistic percentage of 5% fragrance substance in fragrance compound

<sup>&</sup>lt;sup>8</sup> See A.I.S.E. website <a href="https://www.aise.eu/our-activities/regulatory-context/reach/description-of-uses.aspx">https://www.aise.eu/our-activities/regulatory-context/reach/description-of-uses.aspx</a> and Cosmetics Europe website <a href="https://cosmeticseurope.eu/cosmetics-industry/cosmetics-industry-and-reach/">https://cosmeticseurope.eu/cosmetics-industry/cosmetics-industry-and-reach/</a>



# **ANNEX 1**

Use Descriptors and Tier 1 inputs for consumer exposure assessment



| GES<br>No. | GES title /<br>Product Category<br>(PC)  | Product sub-Category Sentinels  |  | C | dult<br>hile | d  | Product is spray? | frequency<br>(events<br>per day)                      | Skin<br>surface<br>contact<br>area (cm²) | Amount<br>swallowed<br>(g) | Amount product per event (g) | room<br>volume<br>(m³) | exposure<br>time (hr) |
|------------|--|---|--|---|--------------|----|-------------------|---|--|----------------------------|------------------------------|------------------------|-----------------------|
|            |  | A.I.S.E. SCED PC35_1_a_1 Laundry products (regular, compact, hand wash and automatic wash laundry products)   | 0.3                                    | Α | -            | 1  | -                 | 1   | 857.5<br>Hands                           | -                          |                              |                        |                       |
|            | A.I.S.E. SCED PC35_2_a_1 Fabric conditioners (regular and compact fabric conditioner products) |   | 0.15                                   | Α | -            | -  | -                 | 1   | 857.5<br>Hands                           | -                          |                              |                        |                       |
|            | GES5 - Consumer  | A.I.S.ESCED_PC35_3_a_1 Surface Cleaners; Non-spray application (non-spray surface cleaning products such as liquid all-purpose cleaners, toilet cleaners, floor cleaners) | 0.1                                    | Α | 1            | Α  | -                 | 1   | 857.5<br>Hands                           | -                          | 110                          | volume exposure        |                       |
| 5          | washing and cleaning products /  | A.I.S.ESCED_PC35_3_b_1 Surface Cleaners; Spray application (trigger sprays used as general hard surface cleaners)   | ger sprays 0.1 A - A S 1 857.5 Hands - |   | -            | 30 | 20                | 0.2   |  |                            |                              |                        |                       |
|            | A.I.S.ESCED_PC35_4_a_1 Machine dishwashing products (automatic dishwashing products)           | 0.6   | Α                                      | ı | -            | ı  | 1                 | 428.8<br>Inside<br>hands/one<br>hand/palm<br>of hands | -  | -                          |                              | -                      |                       |
|            |  | A.I.S.ESCED_PC35_5_a_1 Hand dishwashing products  | 0.3                                    | Α | -            | -  | -                 | 2   | 857.5<br>Hands                           |                            |                              |                        |                       |
| 6          | GES6 - Consumer<br>end-use of air care<br>products / PC 3                                      |   |  | Α | -            | Α  |                   | 1   | 35.7<br>fingertips                       | -                          | 2.5                          | 20                     | 8                     |
|            |  | A.I.S.E. SCED_PC3_7_b_1 Air care products; aerosols   | 0.5                                    |   | -            | Α  | S-                | 2   |  | -                          | 10                           | 20                     | 0.25                  |



| 7 | GES7 - Consumer                    | No A.I.S.E. SCED available: A.I.S.E. C19 - Air fresheners aerosol for Insecticides spray neat  | 0.01* | - | - | Α | S | 4 | -   | - | 10  | 20 | 0.25 |
|---|------------------------------------|--|-------|---|---|---|---|---|---|---|-----|----|------|
| 7 | end-use of<br>biocides / PC 8      | No A.I.S.E. SCED available: A.I.S.E. C19 - Air fresheners non-aerosol for Insecticides electric liquid   | 0.01* | Α | 1 | Α | - | 1 | 35.7<br>fingertips                        | - | 50  | 20 | 8    |
|   | GES8 - Consumer end-use of         | A.I.S.ESCED_PC3_6_a_1 Polishes and wax blends; non-Spray applications (non-spray polishes, cream and wax products for items such as furniture, floors and shoes) | 0.5   | Α | ı | Α | - | 1 | 428.8 Inside hands/one hand/palm of hands | - | 550 | 20 | 4    |
| 8 | polishes and wax<br>blends / PC 31 | A.I.S.E. SCED PC3_6_b_1 Polishes and wax blends; Spray application (spray polishes and waxes for items such as furniture, floors and shoes)                      | 0.5   | А | 1 | Α | S | 1 | 428.8 Inside hands/one hand/palm of hands | - | 135 | 20 | 1    |

<sup>\*</sup> Insecticides: fraction of fragrance substance in fragranced end-product indicated in Table 19 (see §3.4.5.2)



# **ANNEX 2**

Table of habits and practices for consumer products in Western Europe developed by A.I.S.E. (amended 2009) within the HERA project 2002



| Product categories     | Grams/Task |      |      |      | Jse Frequer<br>Tasks per v |      | Dura                       | ition of Task  | Other intended uses of category    |   |
|------------------------|------------|------|------|------|----------------------------|------|----------------------------|----------------|------------------------------------|---|
| _                      | Min.       | Max. | Тур. | Min. | Max.                       | Тур. | Min.                       | Max.           | Тур.                               | uses of category                            |
| LAUNDRY REGULAR        |            |      |      |      |                            |      |                            |                |                                    |   |
| Powder                 | 55         | 290  | 150  | 1    | 18                         | 5    | Machine wash: < 1 min      |                | 1 min                              | Laundry<br>pretreatment: 10<br>min. / task, |
| Liquid                 | 78         | 230  | 150  | 1.8  | 10                         | 4    | Hand                       | d wash (b) : ′ | 10 min                             | 50-60% paste (powder); neat liquid          |
| LAUNDRY COMPACT        |            |      |      |      |                            |      |                            |                |                                    |   |
| Powder                 | 20         | 200  | 75   | 1    | 21                         | 5    | Machine wash: < 1 min      |                | 1 min                              | Laundry<br>pretreatment: 10<br>min. / task, |
| Liquid/gel             | 40         | 140  | 90   | 2.8  | 10                         | 4    | Hand wash (b): 10 min      |                |                                    | 50-60% paste (powder); neat liquid          |
| Tablet                 | 45         | 135  | 90   | 3    | 10                         | 4    |                            |                |                                    |   |
| FABRIC CONDITIONERS    |            |      |      |      |                            |      |                            |                |                                    |   |
| Liquid Regular         | 50         | 140  | 135  | 3.3  | 10                         | 4    | Machine : < 1 min          |                | min                                | Not applicable                              |
| Liquid Concentrate     | 11         | 90   | 44   |      |                            |      | Hand                       | d wash (b) : ' | 10 min                             |   |
| LAUNDRY ADDITIVES      |            |      |      |      |                            |      |                            |                |                                    |   |
| Liquid Bleach (ml)     | 40         | 100  | 70   | 1.5  | 4                          | 3    | Hand wash (b) : 5 - 10 min |                | Laundry pretreatment liquid (neat) |   |
| Tablet                 | 20         | 30   | 25   |      |                            |      |                            |                |                                    |   |
| HAND DISHWASHING       |            |      |      |      |                            |      |                            |                |                                    |   |
| Liquid Regular (a)     | 3          | 10   |      | 3    | 21                         | 14   | 10                         | 45             | 30                                 | Not applicable                              |
| Liquid Concentrate (a) | 2          | 5    |      |      |                            |      | 10                         | 45             | 30                                 |   |
| MACHINE DISHWASHING    |            |      |      |      |                            |      |                            |                |                                    |   |
| Powder                 | 20         | 46   |      |      |                            |      |                            |                |                                    |   |
| Liquid                 | 20         | 40   |      | 3    | 7                          | 5    |                            | < 1 min        |                                    | Not applicable                              |
| Tablet                 | 20         | 50   |      |      |                            |      |                            |                |                                    |   |



| Product categories   |      | Grams/Task |      |      | Jse Frequei<br>Tasks per v |      | Dura  | tion of Task ( | Other intended uses of category |                                     |
|----------------------|------|------------|------|------|----------------------------|------|-------|----------------|---------------------------------|-------------------------------------|
|                      | Min. | Max.       | Тур. | Min. | Max.                       | Тур. | Min.  | Max.           | Тур.                            | _ uses or eategory                  |
| SURFACE CLEANERS     |      |            |      |      |                            |      |       |                |                                 |                                     |
| Liquid (a)           | 30   | 110        | 60   |      |                            |      |       |                |                                 |                                     |
| Powder (a)           | 20   | 40         |      | 1    | 7                          | 2    | 10    | 20             |                                 | Not applicable                      |
| Gel (neat)           | 20   | 40         |      |      |                            |      |       |                |                                 |                                     |
| Spray (neat)         | 5    | 30         |      |      |                            |      | 2     | 10             |                                 |                                     |
| TOILET CLEANERS      |      |            |      |      |                            |      |       |                |                                 |                                     |
| Powder               | 15   | 30         | 20   |      |                            |      |       |                |                                 |                                     |
| Liquid (ml)          |      |            | 30   | 1    | 2                          | 1    |       | < 1 min        |                                 | Not applicable                      |
| Gel                  | 20   | 35         | 25   |      |                            |      |       |                |                                 |                                     |
| Tablet               | 25   | 50         | 35   |      |                            |      |       |                |                                 |                                     |
| LAUNDRY AIDS         |      |            |      |      |                            |      |       |                |                                 |                                     |
| Ironing Aids         | 1    | 20         | 10   | 1    | 5                          | 2    | 5     | 20             | 60                              | -                                   |
| INSECTICIDES         |      |            |      |      |                            |      |       |                |                                 |                                     |
| Liquid Electric      | 0.4  | 0.6        | 0.5  | 5.5  | 5.7                        | 5.6  | 7.6   | 8.6            | 8.1                             | Units are grams/day in first column |
| Spray (neat)         | 9.5  | 10.75      | 10.1 | 2.5  | 2.7                        | 2.6  | 3.9   | 4.4            | 4.2                             |                                     |
| WATER SOFTENERS      |      |            |      |      |                            |      |       |                |                                 |                                     |
| Powder (a)           | 22   | 88         | 44   | 1    | 4.5                        | 2    | <1min |                |                                 | -                                   |
| Liquids (ml)         | 50   | 83         | 60   | 1    | 4.5                        | 2    | <1min |                |                                 |                                     |
| Tabs(tab)            | 1tab | 1tab       | 1tab | 1    | 4.5                        | 2    | <1min |                |                                 |                                     |
| MAINTENANCE PRODUCTS |      |            |      |      |                            |      |       |                |                                 |                                     |
| Spray                | 2    | 60         | 30   | 1    | 3                          | 1    | 5     | 60             | 20                              | Furniture care, shoes, leather.     |



| Product categories                     |         | Grams/Task    |         |        | Use Frequen<br>Tasks per w                               |         | Dura | ation of Task | Other intended uses of category |                                 |
|--|---------|---------------|---------|--------|--|---------|------|---------------|---------------------------------|---------------------------------|
|  | Min.    | Max.          | Тур.    | Min.   | Max.   | Тур.    | Min. | Max.          | Тур.                            |                                 |
| WIPES                                  |         |               |         |        |  |         |      |               |                                 |                                 |
| Bathroom                               |         |               | 1g      |        |  | 7       | 1    | 10            | 5                               | based on a total weight of 7g   |
| Kitchen                                |         |               | 1g      |        |  | 3.5     | 2    | 10            | 5                               | based on total weight of 7g     |
| Floor                                  |         |               | 26g     |        |  | 2       | 2    | 10            | 5                               | Wet weight not determined       |
| DRAIN GRANULES                         | 70      | 70            | 70      | 0      | 1  | <1      | 5    | 15            | 10                              | Single Unit                     |
| HIGH PRESSURE<br>WASHERS/CLEANERS      | 1.16    | 320.83        | 50      | 0.02   | 1  | 0.23    | 60   | 600           | 300                             | Use frequency counted on a year |
| AUTOMOTIVE CARE (SPRAY/LIQUID)         | 50      | 500           | 200     | 0.02   | 2  | 0.5     | 200  | 600           | 300                             | Use frequency counted on a year |
| Product categories                     | Dischar | ge Rate (Grar | ms/Sec) | Use Fr | Jse Frequency: # Tasks per week Duration of spraying (s) |         |      |               | Other intended uses of category |                                 |
|  | Min.    | Max.          | Typical | Min.   | Max.   | Typical | Min. | Max.          | Typical                         |                                 |
| AIR FRESHENERS                         |         |               |         |        |  |         |      |               |                                 |                                 |
| 1. Aerosol i) aqueous                  | 1       | 1.8           | 1.4     | 1      | 37   | 8       | 1    | 15            | 6                               |                                 |
| 1. Aerosol ii) non-Aqueous             | 0.6     | 1.5           | 0.9     | 1      | 37   | 7       | 1    | 15            | 6                               |                                 |
| 2. Perfume in/on solid substrate (gel) | 1.3 E-6 | 8.1 E-5       | 2.9 E-5 |        | Continuous   |         |      | Continuous    |                                 |                                 |
| 3. Diffusers (heated + electrical)     | 6.9 E-6 | 1.4 E-5       | 1.2 E-5 | 1      | 7  | 3.5     |      | Continuous    |                                 |                                 |



# ANNEX 3

Summary of generic exposure scenarios for environmental risk assessment



| IU n°                      | 0  | 1                                  | 2  | 3, 4, 5, 6, 7, 8, 9   |
|----------------------------|--|------------------------------------|--|---|
| Exposure<br>scenario title | Manufacturing of fragrance (site-specific) | Formulation of fragrance compounds | Formulation of fragranced end-products   | - Professional end-use of washing and cleaning and disinfecting products (including use at industrial site) - Professional use of polishes and wax blends - Consumer end-use of washing and cleaning products - Consumer end-use of air care products - Consumer end-use of biocides - Consumer end-use of polishes and wax blends - Consumer (and Professional) end-use of cosmetics |
| Life cycle stage           | Manufacturing                              | Formulation                        | Formulation  | Professional/consumer end-use   |
| ERC                        | ERC1                                       | ERC2                               | ERC2   | ERC8a   |
| List of possible<br>SPERCs | _  | IFRA 2.1a. v1<br>IFRA 2.1b.v1      | A.I.S.E. SPERC 2. 1.a.v2 A.I.S.E. SPERC 2.1.b.v2 A.I.S.E. SPERC 2.1.c.v2 A.I.S.E. SPERC 2.1.g.v2 A.I.S.E. SPERC 2.1.h.v2 A.I.S.E. SPERC 2.1.h.v2 A.I.S.E. SPERC 2.1.h.v2 A.I.S.E. SPERC 2.1.j.v2 A.I.S.E. SPERC 2.1.j.v2 A.I.S.E. SPERC 2.1.l.v2 Cosmetics Europe SPERC 2. 1.a.v2 Cosmetics Europe SPERC 2.1.b.v2 Cosmetics Europe SPERC 2.1.b.v2 Cosmetics Europe SPERC 2.1.f.v2 Cosmetics Europe SPERC 2.1.f.v2 Cosmetics Europe SPERC 2.1.f.v2 Cosmetics Europe SPERC 2.1.j.v2 Cosmetics Europe SPERC 2.2.b.v2 Cosmetics Europe SPERC 2.2.c.v2 Cosmetics Europe/A.I.S.E. SPERC 2.3.a.v2 Cosmetics Europe/A.I.S.E. SPERC 2.3.b.v2 Cosmetics Europe/A.I.S.E. SPERC 2.3.c.v2 | A.I.S.E. SPERC 8a.1.a.v2 A.I.S.E. SPERC 8a.1.b.v2 A.I.S.E. SPERC 8a.1.c.v2 Cosmetics Europe 8a.1.a.v2 Cosmetics Europe 8a.1.b. v2 Cosmetics Europe 8a.1.c.v2  |



#### **ANNEX 4**

# **SPERC** fact sheet – Formulation of fragrance compounds



# IFRA SPERC INPUTS (ECETOC TRA format)

| SPER<br>C<br>Name | Specifie<br>s ERC | Fractio<br>n used<br>at main<br>source | Releas<br>e times<br>per<br>year<br>(d/year) | With<br>STP<br>: | Releas<br>e<br>fraction<br>to air | Release<br>fraction to<br>wastewate<br>r | Releas<br>e<br>fraction<br>to soil | River<br>flow rate<br>for<br>dilution<br>applied<br>for PEC<br>derivatio<br>n<br>(m³/day) | Type of On-<br>Site risk<br>managemen<br>t measure<br>implemente<br>d | Efficiency of<br>On-Site risk<br>managemen<br>t measure | M <sub>SPER</sub> c<br>c<br>(kg/d) | SPERC<br>Code       | SPERC<br>description   | Glossary<br>text   |
|-------------------|-------------------|--|--|------------------|-----------------------------------|--|------------------------------------|---|---|---|------------------------------------|---------------------|--|--|
| IFRA<br>1         | 2                 | 1                                      | 250  | yes              | 0.025                             | 0.002                                    | 0                                  | 18000   | -   | -   | -                                  | IFRA<br>2.1a.v<br>1 | IFRA -<br>Formulation<br>of fragrance<br>compounds<br>at<br>large/mediu<br>m sites | Fragrance compound: A blend of fragrance substances, representin g a specific fragrance formula. |
| IFRA<br>2         | 2                 | 1                                      | 250  | yes              | 0.025                             | 0.005                                    | 0                                  | 18000   | -   | -   | -                                  | IFRA<br>2.1b.v<br>1 | IFRA -<br>Formulation<br>of fragrance<br>compounds<br>at small sites               | Fragrance compound: A blend of fragrance substances, representin g a specific fragrance formula. |



| <b>General Information</b> |   |  |  |  |  |  |  |  |
|----------------------------|---|--|--|--|--|--|--|--|
| Title of Specific ERC      | IFRA - Formulation of fragrance compound  |  |  |  |  |  |  |  |
| Applicable ERC             | 2 – Formulation of preparations   |  |  |  |  |  |  |  |
| SPERC code                 | IFRA 2.1a.v1 IFRA - Formulation of fragrance compounds at large/medium sites  |  |  |  |  |  |  |  |
|                            | IFRA 2.1b.v1 IFRA - Formulation of fragrance compounds at small sites   |  |  |  |  |  |  |  |
| Responsible                | IFRA  |  |  |  |  |  |  |  |
| Version                    | V1  |  |  |  |  |  |  |  |
| Scope                      | Usually, fragrance ingredients are formulated twice:  |  |  |  |  |  |  |  |
|                            | - several ingredients are mixed together to make a fragrance compound: this is referred to as the "compounding" stage;  |  |  |  |  |  |  |  |
|                            | - a fragrance compound is mixed with other ingredients to make a consumer product (e.g., a shampoo): this is referred to as the "formulation" stage.  |  |  |  |  |  |  |  |
|                            | The scope of this fact sheet covers only the <u>compounding stage</u> . Formulation of fragranced end-products is covered by A.I.S.E. and Cosmetics Europe SPERCs Factsheets ( <a href="https://www.aise.eu/our-activities/regulatory-context/reach/environmental-exposure-assessment.aspx">https://www.aise.eu/our-activities/regulatory-context/reach/environmental-exposure-assessment.aspx</a> and <a href="https://cosmeticseurope.eu/cosmetics-industry-and-reach/">https://cosmeticseurope.eu/cosmetics-industry-and-reach/</a> ). |  |  |  |  |  |  |  |
|                            | Substance Domain: Applicable to individual fragrance substances, stabilizers and solvents that may also be added to enhance the function of the compound, used continuously during all days of the year. The final concentration of a fragrance substance in the fragrance compound can range from parts per million by mass up to 20% w/w. On average a fragrance compound contains 40-60 different fragrance substances.  |  |  |  |  |  |  |  |
|                            | Size of compounding sites: compounding sites have been assigned to three relative sizes of operations, large, medium and small, based on quantity of fragrance compound produced per year on one compounding site (described below).  |  |  |  |  |  |  |  |



|                         | Size of compounding sites  | Quantity of fragrance compound produced per year on one site (t/y) |                     |  |  |  |  |  |
|-------------------------|--|--|---------------------|--|--|--|--|--|
|                         | Small  | < 1,000  |                     |  |  |  |  |  |
|                         | Medium   | 1,000 - 10,000   |                     |  |  |  |  |  |
|                         | Large  | > 10,000   |                     |  |  |  |  |  |
|                         | operating conditions and environmental release were observed in an industry survey (Haskoning 2008).  Operations covered: Mixing of individual fragrance substances with other fragrance substances, stabilizers and solvents to create a mixture (named fragrance compound), including reception of goods, packing and repacking of the substance and its mixtures in batch or continuous operations, including storage, materials transfers, filling process equipment, mixing of ingredients, filling of containers, large and small scale packing, |  |                     |  |  |  |  |  |
|                         | sampling, cleaning, maintenance and associated   | d laboratory activities.   |                     |  |  |  |  |  |
| Related use descriptors | Process Categories: PROC1: Use in closed process, no likelihood of   | exposure   |                     |  |  |  |  |  |
|                         | PROC2: Use in closed, continuous process with  | ·  | mpling)             |  |  |  |  |  |
|                         | PROC3: Use in closed batch process (synthesis  | or formulation)  | . •,                |  |  |  |  |  |
|                         | PROC5: Mixing or blending in batch processes   | for formulation of preparations and article                        | s (multistage and/o |  |  |  |  |  |
|                         | significant contact) PROC8a: Transfer of substance or preparation dedicated facilities   | (charging/discharging) from/to vessels/lar                         | ge containers at no |  |  |  |  |  |
|                         | PROC9: Transfer of substance or preparation in PROC15: Use as laboratory reagent   | to small containers (dedicated filling line,                       | including weighing  |  |  |  |  |  |



| Characteristics of Specifi | c ERC        |  | Type of Input Information                                   |
|----------------------------|--------------|--|---|
| Operational Conditions     | IFRA 2.1a.v1 | SPERC specific Operational Conditions  |   |
|                            |              | <ul> <li>The number and sizes of batches is variable (surveyed sites reported<br/>from 13 up to 140 batches per day). Naturally, small number of<br/>batches is produced in larger quantities;</li> </ul>  | A survey was conducted in 2008 to obtain information on the |
|                            |              | - Dosing is a mixture of automatic and manual dosing.  | emission to water during the compounding                    |
|                            |              | Operational Conditions common to both SPERCs   | process <sup>9</sup> .                                      |
|                            |              | - Compounding is carried out as a batch-wise process;  | Responses to the questionnaire were                         |
|                            |              | - After each batch a number of mixing vessels will need to be cleaned;   |   |
|                            |              | <ul> <li>The delivery area is a contained area so spills and contaminated rain<br/>is drained to the water treatment system;</li> </ul>  | received from 7 compounding sites with                      |
|                            |              | <ul> <li>Generally, the empty containers are not cleaned but they are either<br/>dedicated containers or they are recycled by an external company;</li> </ul>  | varying size and varying degree of emission control.        |
|                            |              | <ul> <li>Pumps may be cleaned with water and the water is discharged into<br/>the drains. Some minor losses may occur there. Estimated losses<br/>range from &lt;&lt;0.01% to 0.08%;</li> </ul>  | Typical emission fractions were derived from these          |
|                            |              | <ul> <li>Mixing vessels and batch sizes span a large volume range, from a few<br/>litres to many cubic meters;</li> </ul>  | data, including measurements.                               |
|                            |              | <ul> <li>The main release occurs after the mixing process when containers, pumps and other equipment are cleaned with water, detergent and sometimes steam or alcohol. The average releases range from 0.015 to 0.1%, with higher estimates for small batches (&lt; 60L) up to 0.3%. These results are based on measurements;</li> </ul> |   |
|                            |              | <ul> <li>During the filling of all finished products, rinsing or cleaning<br/>procedures are directed towards avoiding emissions to wastewater.<br/>Estimated emissions are in the range of 0.01%;</li> </ul>  |   |

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<sup>&</sup>lt;sup>9</sup> Royal Haskoning 2008. Review and evaluation of environmental emission scenarios for fragrance ingredients Environmental exposure from the formulation of products (9S3975.01/R0002/Nijm)



|              | <ul> <li>Floors are mopped and the water is discharged to the sewer system;<br/>spills are absorbed and treated as chemical waste, although small<br/>spills may be washed down the drain.</li> </ul>  |
|--------------|--|
| IFRA 2.1b.v1 | SPERC specific Operational Conditions  |
|              | <ul> <li>The number and sizes of batches is variable (surveyed sites reported<br/>from &lt;1 up to 15 batches per day). Naturally, small number of batches<br/>is produced in larger quantities;</li> </ul>  |
|              | - Dosing is mainly a manual process.   |
|              | Operational Conditions common to both SPERCs   |
|              | - Compounding is carried out as a batch-wise process;  |
|              | - After each batch a number of mixing vessels will need to be cleaned;   |
|              | - The delivery area is a contained area so spills and contaminated rain is drained to the water treatment system;  |
|              | <ul> <li>Generally, the empty containers are not cleaned but they are either<br/>dedicated containers or they are recycled by an external company;</li> </ul>  |
|              | <ul> <li>Pumps may be cleaned with water and the water is discharged into<br/>the drains. Some minor losses may occur there. Estimated losses<br/>range from &lt;&lt;0.01% to 0.08%;</li> </ul>  |
|              | <ul> <li>Mixing vessels and batch sizes span a large volume range, from a few<br/>litres to many cubic meters;</li> </ul>  |
|              | <ul> <li>The main release occurs after the mixing process when containers, pumps and other equipment are cleaned with water, detergent and sometimes steam or alcohol. The average releases range from 0.015 to 0.1%, with higher estimates for small batches (&lt; 60L) up to 0.3%. These results are based on measurements;</li> </ul> |
|              | <ul> <li>During the filling of all finished products, rinsing or cleaning<br/>procedures are directed towards avoiding emissions to wastewater.<br/>Estimated emissions are in the range of 0.01%;</li> </ul>  |
|              | <ul> <li>Floors are mopped and the water is discharged to the sewer system;<br/>spills are absorbed and treated as chemical waste, although small<br/>spills may be washed down the drain.</li> </ul>  |



| Obligatory onsite RMMs            | No RMMs needed. |  |  |  |
|-----------------------------------|-----------------|--|--|--|
| Substance Use Rate                | IFRA 2.1a.v1    | No accurate substance maximum use rate in a typical operation can be determined                  |  |  |
|                                   | IFRA 2.1b.v1    | No accurate substance maximum use rate in a typical operation can be determined                  |  |  |
| Days Emitting                     | IFRA 2.1a.v1    | 250 days/year <sup>10</sup>  | Equivalent to number of working days, based on 2002/2003 data and 2008 |  |
|                                   | IFRA 2.1b.v1    | 250 days/year <sup>12</sup>  | inquiry to compounders. <sup>11</sup>                                  |  |
| Environmental Parameters for Fate | IFRA 2.1a.v1    | Local freshwater dilution factor: 10   | Default values from  |  |
| Calculation                       | IFRA 2.1b.v1    | Local marine water dilution factor: 100  Receiving surface water flow is 18000 m <sup>3</sup> /d | REACH Guidance   |  |

<sup>&</sup>lt;sup>10</sup> This assumes that the release is continuous during all days of the year. If the specific fragrance ingredient is present only occasionally in the fragrance oils mixed into the end products, a correction needs to be made for the lower number of emissions days.

<sup>&</sup>lt;sup>11</sup> Ibid

<sup>12</sup> ibid



| Characteristic        | s of Specific ERC | ,            |       | Justification   |  |   |  |  |
|-----------------------|-------------------|--------------|-------|---|--|---|--|--|
| Emission<br>Fractions | To air            | IFRA 2.1a.v1 | 0.025 | Default conservative value from ERC2  |  |   |  |  |
| (from the process)    |                   |              |       | Not enough specific data have been collected to derive a specific release factor for compounding sites, even though it obvious that the default release factor of 2.5% overestimates the emission to air. Indeed, most fragrance substances prese a moderate to low volatility, most of substances VP being < 500 Pa (see Table 4 below) while the default release factor of 2.5% is based on highly volatile substances (>1000 Pa).  Table 4: analysis of vapour pressure of 998 chemicals used fragrance products |  |   |  |  |
|                       |                   | IFRA 2.1b.v1 | 0.025 |   | n = >1000 Pa <1000 Pa min = max = median = 99-percentile = 97.5-percentile = 95-percentile = 90-percentile = | 998<br>25<br>973<br>8.20E-05<br>9.999E+04<br>2.8<br>3258<br>999<br>453<br>156 | chemicals chemicals chemicals Pa Pa Pa Pa Pa Pa Pa Pa Pa |  |



| To<br>Wastewater | IFRA 2.1a.v1 | 0.002 | A survey was conducted in 2008 to obtain information on the emission to water during the compounding process <sup>13</sup> .  Responses to the questionnaire were received from 7 compounding sites with varying size and varying degree of emission control.  Most responses showed that the COD in wastewater was caused mainly by the presence of fragrances in the water.  Based on indications of the COD and the production volumes, and assuming that the COD of most of the fragrance. |
|------------------|--------------|-------|--|
|                  | IFRA 2.1b.v1 | 0.005 | and assuming that the COD of most of the fragrance ingredients in wastewater = 3 mg O/mg substance it was possible to estimate the release of products to wastewater. This fraction, prior to any treatment, ranges from 0.2 to < 0.43 % for the small compounders whereas for the large compounders the estimates range from 0.01 to 0.15%.   |
| To Soil          | IFRA 2.1a.v1 | 0     | All solid waste is collected. Used packaging which has been in contact with chemicals are recycled, collected by waste companies or incinerated. The delivery area as well as the mixing halls is in contained area, so all water is collected in dedicated sewers. This implies that there is no direct emission  |
|                  | IFRA 2.1b.v1 | 0     | to the soil. Spills are cleaned with specific sorbing materials or cleaned with water that is collected in dedicated sewers along with the process water. Spilled fragrances may be collected and recycled in the process <sup>14</sup> .  |

<sup>&</sup>lt;sup>13</sup> ibid.

<sup>&</sup>lt;sup>14</sup> ibid.



| Type of RMM                       |  | Typical Efficiency  |
|-----------------------------------|--|---|
| Optional risk                     | Water  |   |
| management measures for iteration | On-site physico-chemical treatment (before discharging into either a biological wastewater treatment plant on-site or in a municipal sewage treatment plant) | - It is common practice that the wastewater is treated in a physical-chemical system before it is discharged into a biological wastewater treatment plant on-site or in a municipal sewage treatment plant. <sup>15</sup> The efficiency of this treatment depends on substance properties such as log Kow and should be considered with care. More especially the validity of this RMM is to be challenged for hydrophilic substances in oil/water separators. The survey of 7 operating plants reported removal efficiencies from 30 to 70%. Individual plants may vary and data collection will be needed to verify a particular treatment plant efficiency. |
|                                   | On-site biological treatment<br>(before discharging into a<br>municipal sewage treatment<br>plant)   | - A biological treatment plants is often present on the larger industrial sites <sup>16</sup> . The efficiency of this RMM varies depending on the treatment technology and the properties of the substance as log Kow and the biodegradability. SimpleTreat is a conservative approach to estimate removal efficiency from biological treatment.   |

<sup>&</sup>lt;sup>15</sup> ibid.

<sup>&</sup>lt;sup>16</sup> ibid



#### **Narrative Description of Specific ERC**

Individual fragrance substances are potentially mixed with hundreds of other fragrance substances to create a fragrance compound. Stabilizers and solvents may also be added to enhance the function of the compound. This includes formulation, packing and re-packing of the substance and its mixtures in batch or continuous operations, including storage, material transfers-, mixing-, large- and small-scale packing, maintenance and associated laboratory activities.

Cleaning and side activities such as sampling, maintenance and associated laboratory activities are covered by these SPERCs (see Figure 1 below).

Dosing, mixing and filling may be a completely automated process for larger batches whereas the small batches may be processed automatically (e.g., via robot) or manually. In many cases combinations of automated and manual dosing and mixing will occur. After each batch the equipment will be cleaned with water in a closed system or by spraying, sometimes using detergents, or with steam. In some cases, alcohol or other solvents are used instead of water.

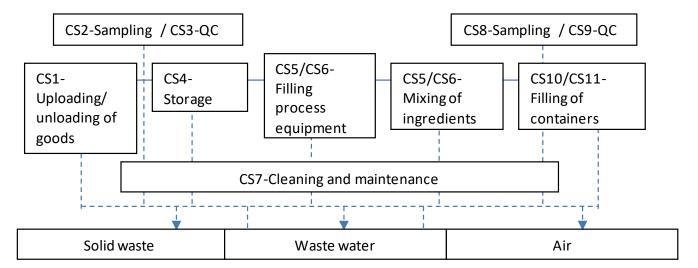


Figure 1: Operations during compounding and exposure routes (QC: Quality Control)



Waste is treated under national regulations. Spills are absorbed and collected as chemical waste. The same is true for samples, packaging materials and sludge residues from water treatment. It is disposed of to an outside contractor and may be treated, incinerated on put in a landfill, according to local regulations.

The wastewater is consistently discharged to a biological treatment plant (activated sludge) which is either on the site or a municipal sewage treatment plant.



#### Safe Use

#### **Communication in SDS**

The REACH registrant establishes a set of standard conditions of safe use for a substance (for Compounding of fragrance compounds) by adopting the conditions specified in this SPERC and recommending a Required Removal Efficiency (RRE) for adequate risk reduction.

Removal efficiency requirements, as dictated by the assumed operating conditions, are documented in the Chemical Safety Report and communicated in the Safety Data Sheet. All other parameters underlying a substance exposure scenario based on the SPERC 'Formulation of fragrance compounds' are implicitly referred to via the reference to this SPERC.

#### Scaling

#### Wastewater

The compounders are responsible for evaluating the compliance of their specific situations with the registrant's information. To that end, the compounders need to know their site-specific substance use rate ( $M_{Site}$ ) and days emitting ( $T_{Emission, Site}$ ), onsite and offsite emission controls and subsequent total substance emission reduction efficiency ( $RE_{Total, Site} = 1 - [(1 - RE_{Onsite, Site}) \times (1 - RE_{Offsite, Site})]$ ), sewage treatment plant effluent flow rate ( $G_{Effluent, Site}$ ) and receiving water dilution factor ( $q_{Site}$ ).

It is simpler and thus may be preferable to some users to compare  $M_{Site}$  with  $M_{Safe}$  (the maximum tonnage that can be safely used, within the prescribed operating conditions,  $OC_{spERC}$  and RMM,  $RE_{Total, spERC}$ ). Adequate control of risk exists if the following conditions are met [RE<sub>Total, Site</sub>  $\geq$  RE<sub>Total, SPERC</sub>,  $G_{Effluent, Site} \geq G_{Effluent, SPERC}$ , and  $G_{Site} \geq G_{SPERC}$ ] and  $G_{Safe} \geq G_{Site}$ .

Local amount used, emission days per year, receiving water flow rate (or dilution factor), sewage treatment plant effluent flow rate, and risk management measure removal efficiency are the adjustable parameters for emission assessment. These parameters can be refined using site-specific information, which often is obtainable with limited effort and expertise. Adjusting the assessment by refining these parameters is referred to as scaling. Scaling is applied to evaluate compliance of a specific use with a generic Exposure Scenario. For that reason, site parameter values which deviate from the default values need to reflect the actual situation.

The release factors are an additional set of adjustable parameters; however, refining the default values requires significant justification and, thus, is beyond the boundary conditions defined in the SPERC Factsheet. For that reason, release factor refinements do not constitute a SPERC-based assessment and must be considered an element of downstream user chemical safety assessment.

More guidance on scaling can be found in the Guidance for Downstream Users as well as in CEFIC guidance on SPERCs (section 3).



#### **ANNEX 5**

# Sensitivity Analysis of ECETOC TRA parameters influencing soil compartment



#### Sensitivity Analysis of ECETOC TRA parameters influencing soil compartment

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#### **Background**

In the previous IFRA guidance document, v2.1, for compounding and formulation exposure scenarios (ESs), no specific value is recommended for the release fraction to air. Thus, the EUSES default value is recommended, which comes from EU TGD A&B Tables.

As part of the SPERC development for compounding ES, the question arose whether this release fraction to air should and could be refined. On this basis, CEHTRA was to propose a short questionnaire to be sent to some compounders to describe the control of emission to air.

However, before drafting a questionnaire, CEHTRA conducted a sensitivity analysis to evaluate to what extent the air release affects soil compartment exposure concentrations.

Based on the result of this sensitivity evaluation CEHTRA proposed not to ask compounders for specific information about on-site conditions affecting air emissions but use the worst-case emission factor to air of 2.5% (ERC 2).

Parameterization of the Sensitivity analysis:

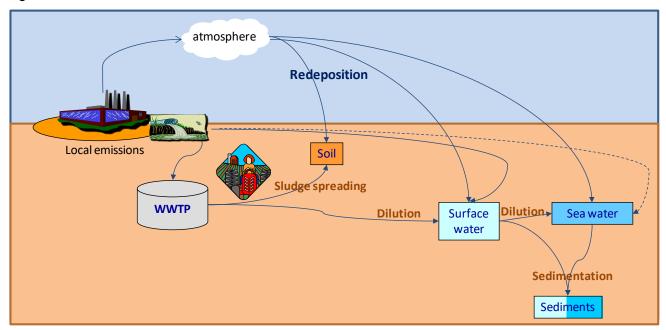
In order to provide a reasonable estimate for the fragrance industry the following physic-chemical properties were fixed:

| Molecular weight [g/mol]        |                            | 4.00E+02 |
|---------------------------------|----------------------------|----------|
| Vapour pressure [Pa] at (°C)    | 25                         | 1.00E+00 |
| Water solubility [mg/l] at (°C) | 1.00E+00                   |          |
| Kow                             | 3.16E+04                   |          |
| Biodegradability test result    | not biodegradable          |          |
| Chemical class for Koc-QSAR     | Predominantly hydrophobics |          |

#### Impact of air release to soil compartment exposure

In this sensitivity analysis, only the Operating Conditions (OCs) and possible Risk Management Measures (RMMs) have been considered, although intrinsic properties of substances (vapour pressure, logKow, water solubility) also have an important influence on air and soil emission.

The main routes of environmental fate of a substance further to emissions from industry are depicted in Figure 1.



<u>Figure 1</u>: representation of main environmental exposition routes from air and wastewater routes via industry production and use

#### Impact of Sludge application to agricultural soil on local soil exposure

According to the Council Directive 86/278/EEC and subsequent amendments residual sludge from municipal WWTPs can be used in agricultural soils provided the specifications laid down in the Directive are followed (although in practice this is not always the case as certain municipal WWTPs destroy the sludge). Non-municipal sludge may be used in agriculture only if it is regulated by the Member State concerned. This means that the RMM "no sludge to soil" is difficult to impose at least on small sites that do not have their own WWTP but rely on discharges to a municipal STP. When a wastewater treatment plant (WWTP) is modelled in ECETOC TRA, the user can indicate whether the sludge residues are spread on agricultural soil or not. It is a "Yes or No" parameter and there is no possibility to apply various degrees of soil exposure by this route. By default, in the model, it is assumed that sludge is applied to soil, as a worst-case.

When assuming that sludge goes to soil, the PEC in soil is almost *100 times* greater than when no sludge to soil is assumed. Therefore, this parameter has a high impact on soil exposure.

#### Impact of release to air on local soil exposure

In the ERC 2 (corresponding to compounding and formulation ESs), it is assumed that 2.5% of used tonnage is released in the air. In A&B Tables the release fraction ranges from 0.25% to 2.5% depending on the vapour pressure (<10 or >1000 Pa) of the substance (Table A2.1, MC 3). The ERC 2 value is the highest one of A&B Tables, which is a worst-case.

Therefore, soil exposure was calculated using a release fraction to air of 2.5% or 0%, with or without considering application of sludge to soil. The results are presented in Table 1.

<u>Table 1</u>: sensitivity of fraction release to air on local soil exposure, with or without sludge application to soil

| Condition                                       | (1)      | (2)      | (1)      | (2)      |
|---|----------|----------|----------|----------|
| SludgeToSoil? (yes/no)                          | n        | 0        | ye       | es       |
| Local release fraction to air                   | 2.50E-02 | 0.00E+00 | 2.50E-02 | 0.00E+00 |
| Local release fraction to sewage                | 5.00E-02 | 5.00E-02 | 5.00E-02 | 5.00E-02 |
| Local release fraction to soil                  | 0.00E+00 | 0.00E+00 | 0.00E+00 | 0.00E+00 |
| PEC for local soil (mg. kgdwt-1)                | 3.07E-03 | 1.54E-03 | 4.39E+00 | 4.39E+00 |
| Delta (1)/ (2)                                  | 2.0      |          | 1.0002   |          |
| PEC for local freshwater sediment (mg. kgdwr-1) | 3.42E+00 | 3.42E+00 | 3.42E+00 | 3.42E+00 |
| Relative ratio sediment / soil                  | 1.11E+03 | 2.22E+03 | 1.28E+00 | 1.28E+00 |

When considering the condition "No sludge to soil", a release fraction to air of 2.5% leads to a PEC in soil greater by a factor 2 than the PEC in soil resulting from a fraction to air of 0%. However, these PECs in soil are lower than the PEC in freshwater sediment by a factor of greater than 1000. Therefore, *in this case the soil is not the critical compartment*, even with the worst-case release fraction to air of ERC2.

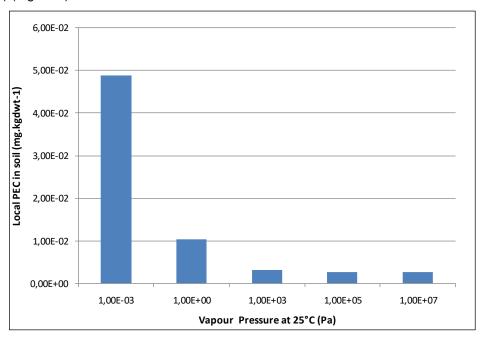
When considering the condition "Yes sludge to soil", the impact of air release up to 2.5% becomes negligible, the difference being less than a thousandth, using a worst case logKow of 4.5 and low vapour pressure (worst-case).

Overall, the emission fraction to air appears to be virtually negligible, even with the worst-case value of ERC 2.

#### Impact of vapour pressure on local soil exposure

The influence of vapour pressure on local soil exposure was evaluated. The water solubility was set to 1 mg/L, the parameter "sludge to soil" was set to "NO".

The local PEC in soil was calculated for various magnitude of vapour pressure (0.001; 1; 1000; 100,000; 10,000,000 Pa) (Figure 2).



# Figure 2: Sensitivity analysis of vapour pressure on local PEC in soil calculated with ECETOC TRA integrated tool v2

These results show that the exposure of local soil is higher at low vapour pressure. The main explanation is that in the model, the substance released to air is more subject to redeposition on local soil when it has a low volatility.

#### Impact of release to sewage on local soil exposure

When it is assumed that sludge residues from WWTP are applied to natural soils (which it is, by default and as mentioned above, is the case for many municipal WWTPs), the fraction of tonnage released to effluent is an important parameter since it will determine the concentration of substance in sludge.

The sensitivity of this parameter has been evaluated. The PEC in local soil was compared using a release fraction to sewage of 0.5 and 0.05. The results are shown in Table 2 below.

The modification factor of PEC in soil is directly proportional to the release fraction to sewage used, a factor of 10 in this case.

Table 2: sensitivity of fraction release to sewage on local soil exposure, with sludge application to soil

| Condition   | Α        | В        |
|---|----------|----------|
| SludgeToSoil? (yes/no)  | yes      | yes      |
| Local release fraction to air                                 | 0.00E+00 | 0.00E+00 |
| Local release fraction to sewage                              | 5.00E-01 | 5.00E-02 |
| Local release fraction to soil                                | 0.00E+00 | 0.00E+00 |
| Local PEC in grass land, averaged over 180 days (mg. kgdwt-1) | 4.39E+01 | 4.39E+00 |
| Delta (A/B)   | 10.0     |          |

Therefore, the release fraction to sewage appears to be an important parameter influencing soil exposure when sludge application to soil is assumed.

#### **Discussion**

The influence of release fraction to air on soil exposure appears to be very limited in any case, even when the worst-case value of ERC 2 is used.

Considering this, it seems pointless to send a questionnaire to get specific information about on-site conditions affecting air emissions.

However, the ECETOC TRA parameter "SludgeToSoil?" has a major impact on soil exposure. Soil is likely to become the critical compartment in terms of risk and exposure when application of sludge to soil is assumed, (a factor of greater than 2 times the concentration found in sediments). This issue may become even more serious in the absence of terrestrial studies where the PNEC determined from the PE method may further decrease compared to that in sediment. On the other hand, if no application of sludge to soil is assumed then the risk and exposure of soil compartment becomes insignificant. Therefore, this parameter should be considered carefully.

During the review and evaluation of environmental emission scenarios for compounding and formulation stages initiated by RIFM<sup>17</sup>, some information was collected on waste treatment. However, the questionnaire sent to compounders and formulators were not focused on the treatment of industrial sludge residues from on-site treatment.

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<sup>&</sup>lt;sup>17</sup> EFFA document 9S3975.01/R0007/Nijm, 2009

As mentioned in the EFFA document (2009), "[Sludge residues are] disposed of to an outside contractor and may be treated, incinerated or put in a landfill, according to local regulations" 18. Furthermore, most compounders and formulators treat their wastewater on-site and a biological treatment system is used in some cases. If no on-site treatment is provided, the effluent is discharged to a municipal WWTP.

As discussed above, municipal WWTPs may or may not apply sludge to soil, thus it is not possible to control this parameter in the generic scenario if wastewater goes directly to municipal WWTP without a preliminary onsite treatment.

Nevertheless, large compounders or formulators treat their effluents on-site, and in most cases, they appear to treat their discharges without use of a municipal WWTP (EFFA<sup>19</sup>). However, in some cases they discharge these effluents to a municipal WWTP. The efficiency of on-site treatment ranges from 70 to 90%<sup>20</sup>. Even if municipal WWTPs applied sludge to soil, the concentration of substance in sludge would probably be negligible. Therefore, the assumption "No sludge to soil" would be realistic in this case, providing that industrial sludge residues from on-site treatment are not applied to soil.

#### Conclusion

The sensitivity analysis shown that emission to air has a significant but negligible contribution to soil exposure. Therefore, the worst-case value of ERC 2, which corresponds to compounding and formulation ES, should be used in the SPERC development for compounding ES, i.e., 2.5%.

The major soil exposure route, as calculated by ECETOC TRA, comes from the application of sludge residues from WWTP to natural soils. This condition is systematically assumed by the model in tier 1 assessment as a worst-case. It can be changed to the contrary (no sludge to soil) if there is enough justification or if the registrant decides to impose this as a standard RMM. The exposure to local soil increases when vapour pressure of the substance decreases, due to higher redeposition.

<sup>&</sup>lt;sup>18</sup> EFFA document 9S3975.01/R0007/Nijm, 2009, section 3.2, page 27

<sup>&</sup>lt;sup>19</sup> EFFA document 9S3975.01/R0007/Nijm, 2009

<sup>&</sup>lt;sup>20</sup> EFFA document 9S3975.01/R0007/Nijm, 2009, section 3.2, page 24



### **ANNEX 6**

# **SWEDs**



Please click here below





